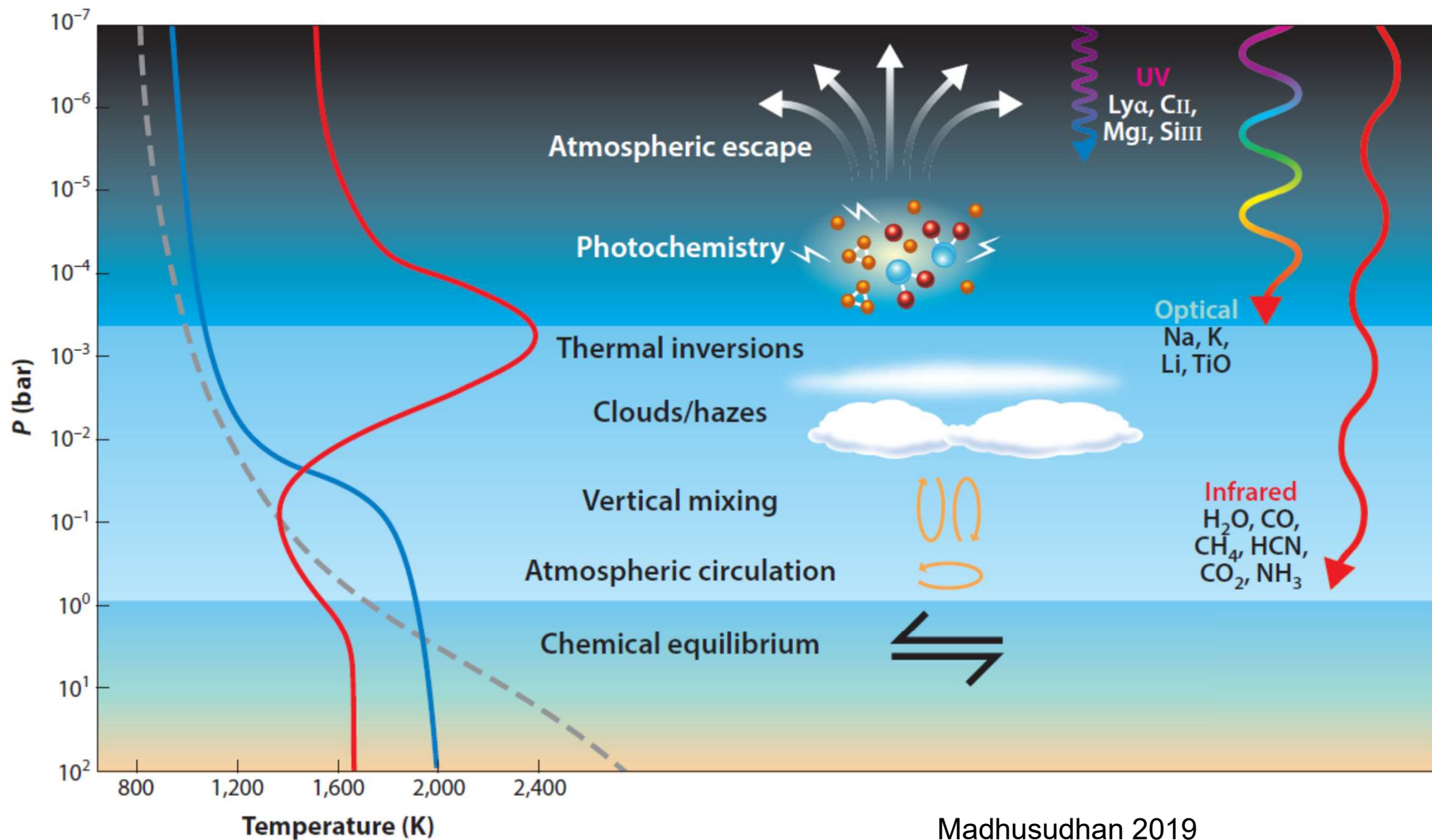
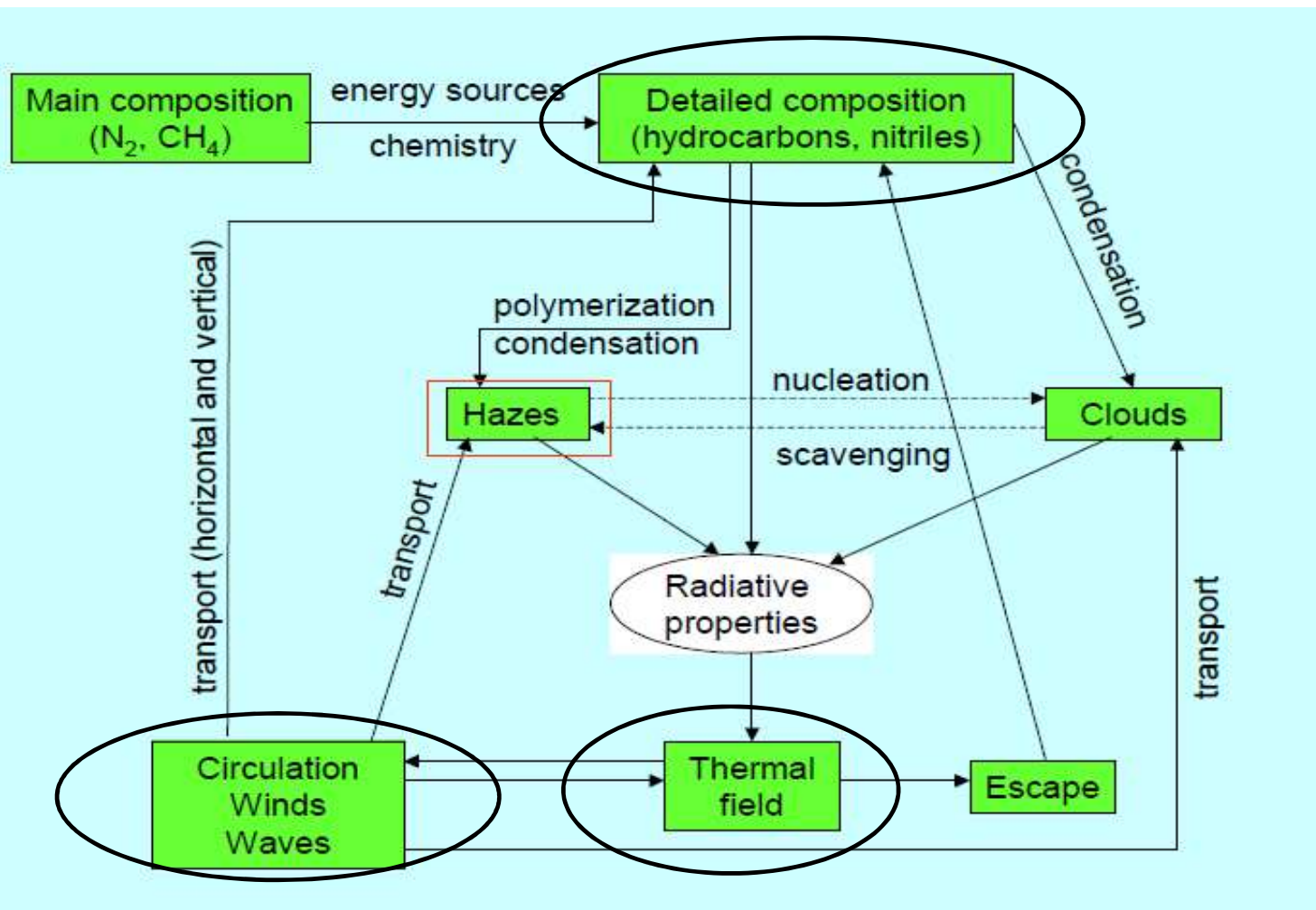


# Solar System atmospheres in the near-IR (1-5 $\mu\text{m}$ ) at high spectral resolution

Emmanuel Lellouch  
Observatoire de Paris



# Couplings in planetary atmospheres: Titan example



Need to characterize 3D fields

- Composition (gas, condensates)
- Temperature
- Winds

Coustenis et al. 2009  
TANDEM mission proposal

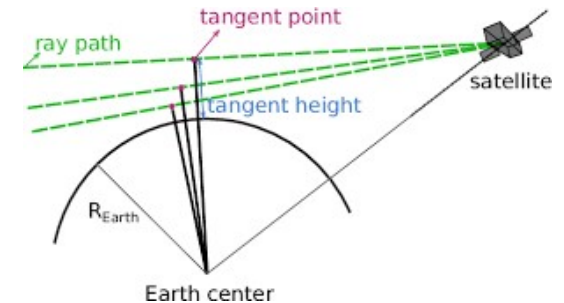
# The need for high resolution

- 1. Disentangling lines from different species in crowded spectral regions → molecular identification and abundance measurements
- 2. Spectral separation of the ro-vibrational lines of a given species → temperature information from line rotational distribution or from thermal profile retrieval with vertically resolved information (in thermal range, for species with known abundance)
- 3. Resolving line profiles → gas vertical profiles
  - Typically Lorentz halfwidth  $\sim 0.1 \text{ cm}^{-1} / \text{bar}$ . At  $5 \mu\text{m} = 2000 \text{ cm}^{-1}$ , a resolving power  $R = 100,000$  enables to resolve lines formed at 0.2 bar and deeper
  - Doppler halfwidth  $\Delta\nu = 4.3 \times 10^{-7} \nu \sqrt{T(\text{K})/M(\text{g})}$ . With  $T=200 \text{ K}$ ,  $M=28 \text{ (CO)}$ ,  $\Delta\nu / \nu = 1.1 \times 10^{-6}$ , hence  $R = 10^6$  is needed to resolve Doppler lines. *In general*, requires heterodyne detection (not in near-IR)
- 4. For unresolved lines, high resolving power enhances line contrast (proportional to  $R$ ), facilitating detection (either in targeted or in serendipitous observations)



# The need for high resolution

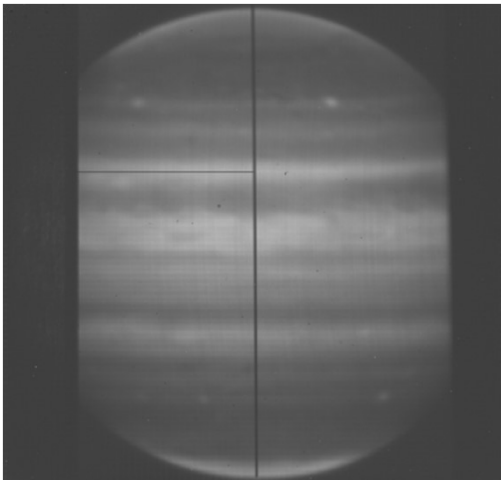
- 5. Direct wind measurements from Doppler shifts. E.g.  $R = 100,000$  gives  $\Delta v = 3$  km/s. But Doppler shifts can be detected to much lower levels...
  - Doppler precision on a single line  $\sim \Delta v / (S/N)$  , e.g. 30 m/s for  $S/N = 100$
  - Simultaneous use of many lines (e.g. solar lines reflected off planet)  $\rightarrow$  traditional velocimetry (motion of atmosphere with respect to observer)
  - May be limited by instrument stability, or by pointing uncertainties (esp. for rapidly rotating planets, e.g.  $v_{eq}$  (Jupiter) = 13 km/s)
- 6. For ground-based observations, high resolution considerably alleviates effects of telluric transmission (and of solar lines)
- 7. For spaceborne observations, limb sounding considerably enhances sensitivity and vertical sounding capability
- 8. High-resolution observations, by « clearing the jungle », enable subsequent observations at lower resolution (e.g. from spacecraft)



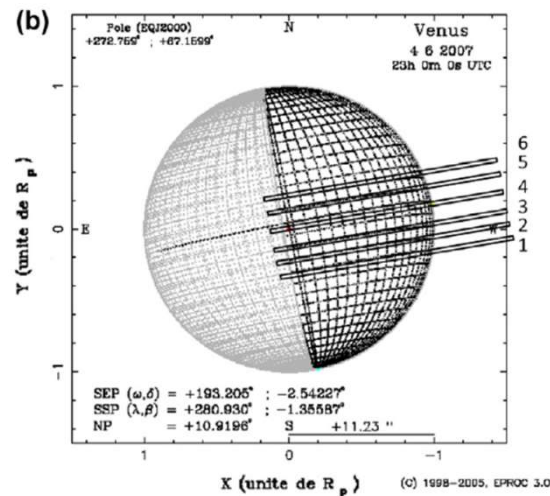
# Mapping the planets

- Single-pixel instruments (e.g. CFHT/SPIRou, TNG/GIANO, Espadons (visible), HARPS (vis)...): require point-by-point observations.
- Long-slit spectrometers (IRTF/iSHELL, Keck/NIRSPEC, VLT/CRIRES, VLT/UVES (vis)...)
  - 1D instantaneous mapping, full 2D can be obtained by moving slit (or let planet rotate)
  - Interest for wind measurements: improving pointing knowledge (limb position) and yielding differential measurements
- 2-D imaging spectroscopy (former CFHT/ FTS-BEAR, CFHT/Sitelle (vis), VLT/MUSE (vis))

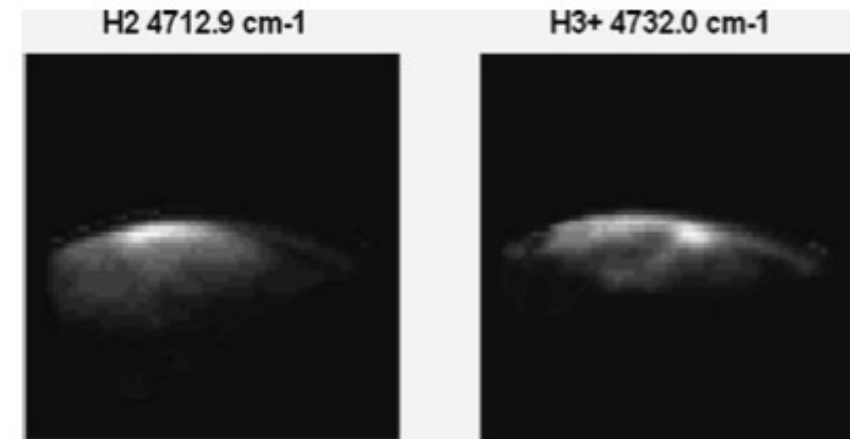
Jupiter with (old) CRIRES



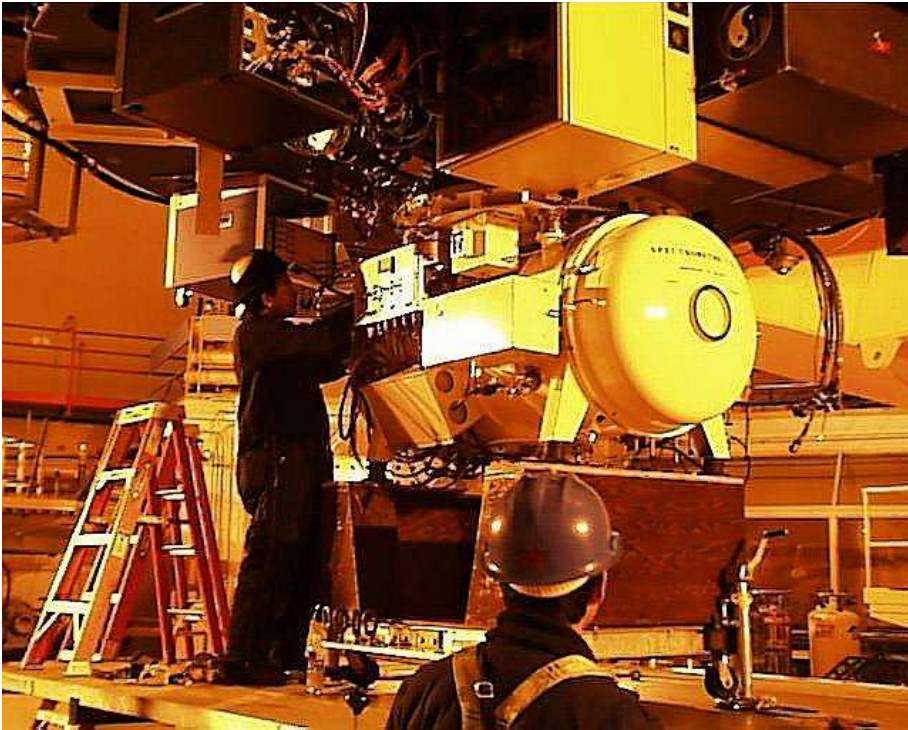
Venus with Espadons



Jupiter aurora with FTS-BEAR (R=20000)



## The Fourier Transform spectrometer (FTS) at the CFHT (1983-2000)



Jean-Pierre Maillard

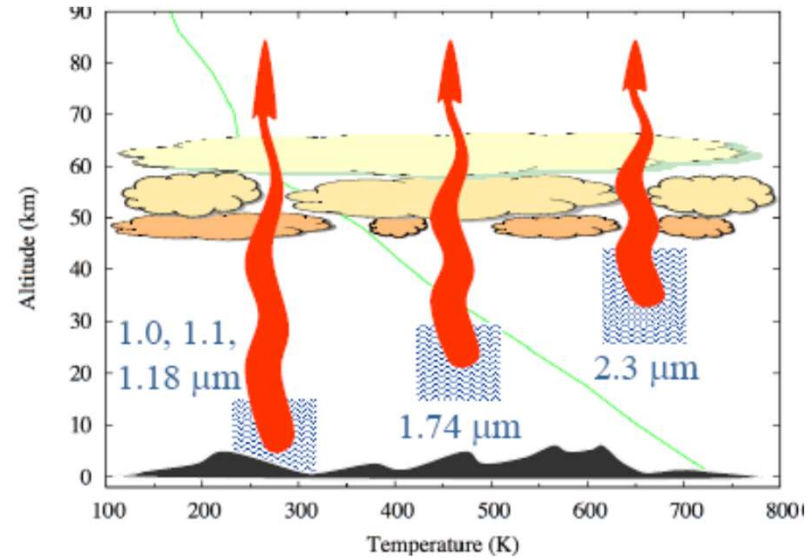
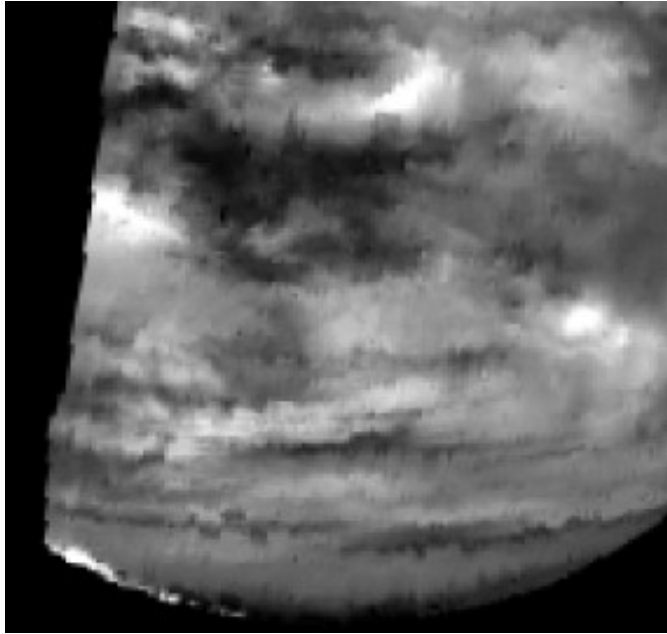
0.9 – 5.2  $\mu\text{m}$ , InSb, InGaAs detectors

Best spectral resolution  $\sim 0.01 \text{ cm}^{-1}$  ( $R = 1,000,000$  !)

Strengths: broad instantaneous spectral coverage, easily adjustable spectral resolution independently of aperture

Weaknesses: single aperture (but then FTS/BEAR), relatively modest sensitivity (ok for planets)

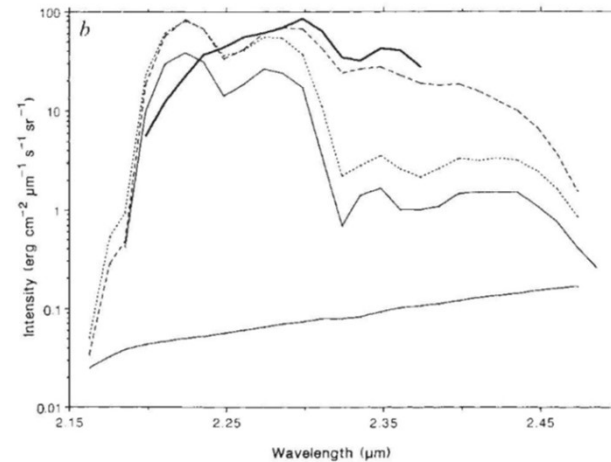
# Probing below Venus' clouds on the nightside in the near-IR



Probing at ~0-10, ~15 and ~30-40 km

*The uppermost clouds form a curtain and by day reflect sunlight back to dazzle us. By night, however, we become voyeurs able to peep into the backlit room behind*

D. Allen, Icarus, 1987



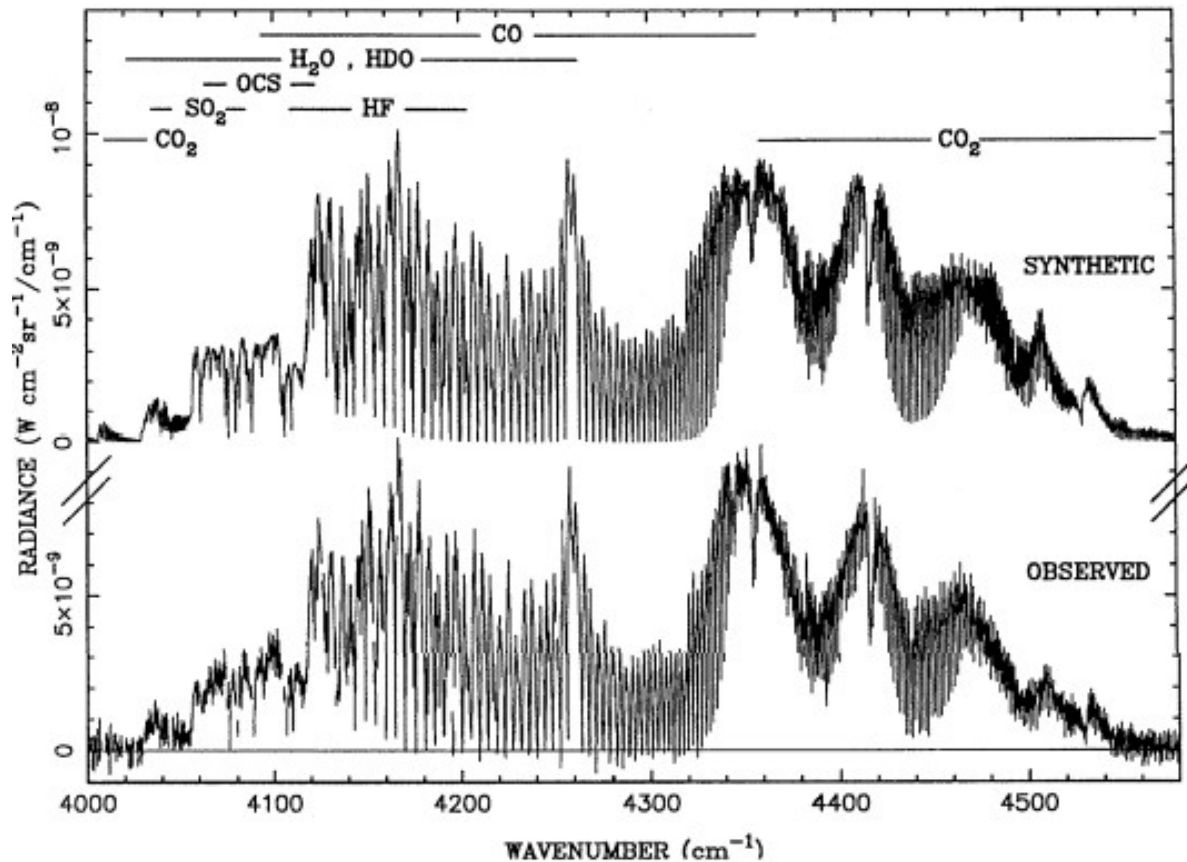
R ~100 (AAT)

Kamp et al. Nature  
1988

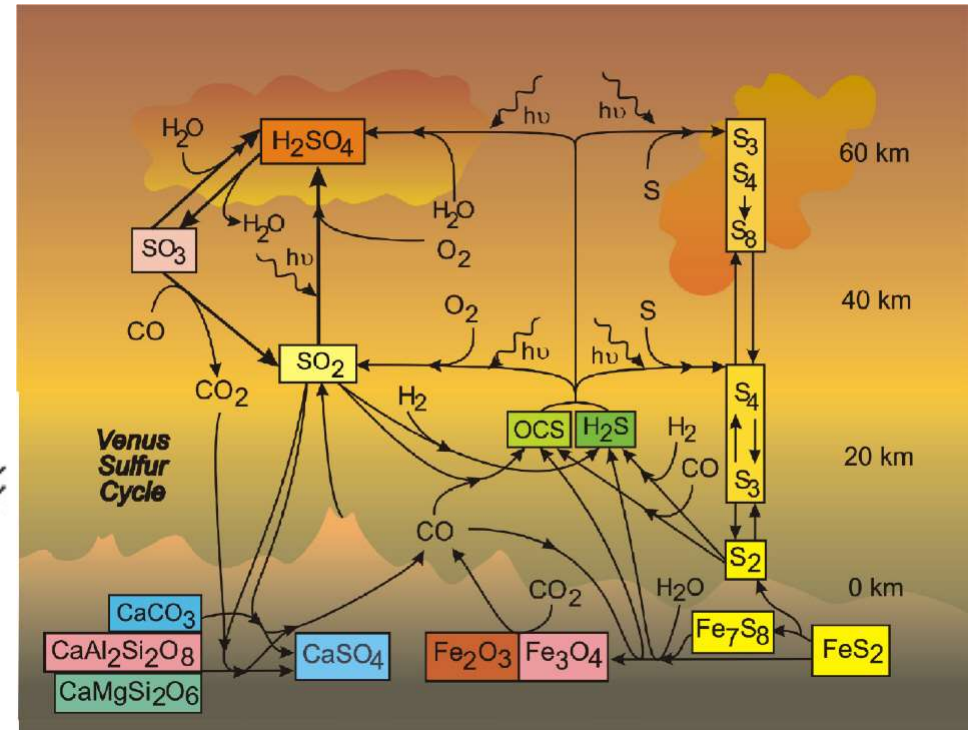


# Probing below Venus' clouds at high resolution in the near-IR

FTS/ CFHT, R ~20000 (Bézard et al. 1990, de Bergh et al. 1990)

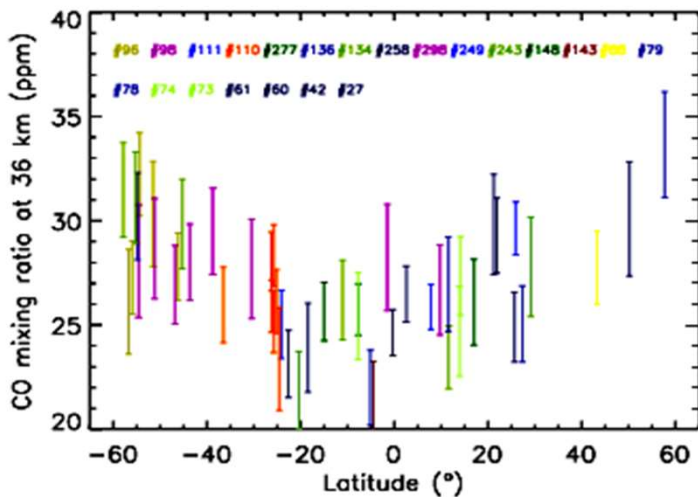
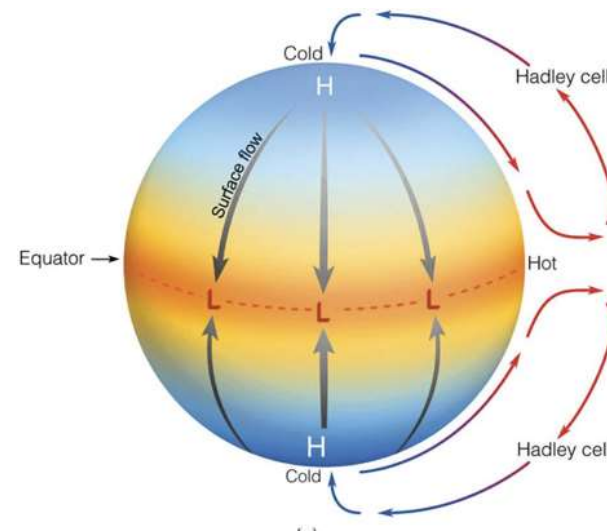
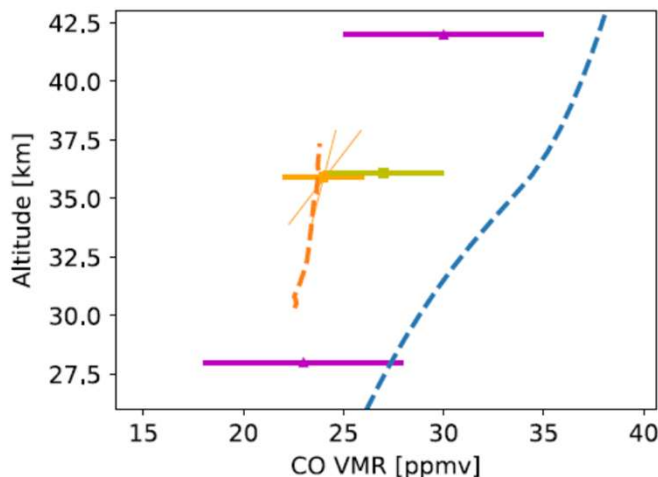
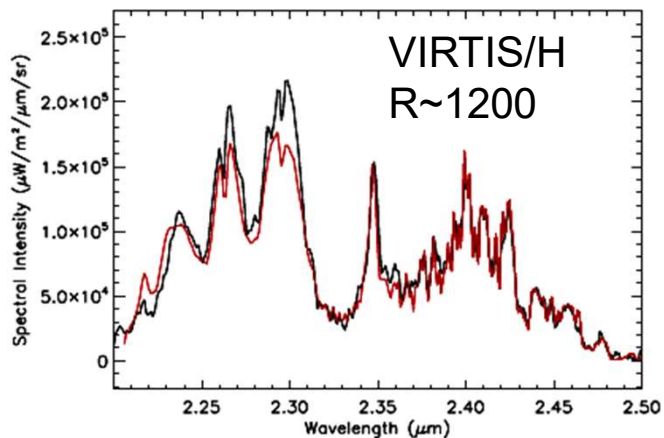


+ D/H: ~ 120 times terrestrial



SO<sub>2</sub> and CO abundances in lower atmosphere are partly controlled by surface atmosphere interactions

# Venus Express results (2006-2014) : coupling between chemistry and dynamics



Marcq et al. 2017

CO produced in upper atmosphere from CO<sub>2</sub> photolysis → gradient with altitude

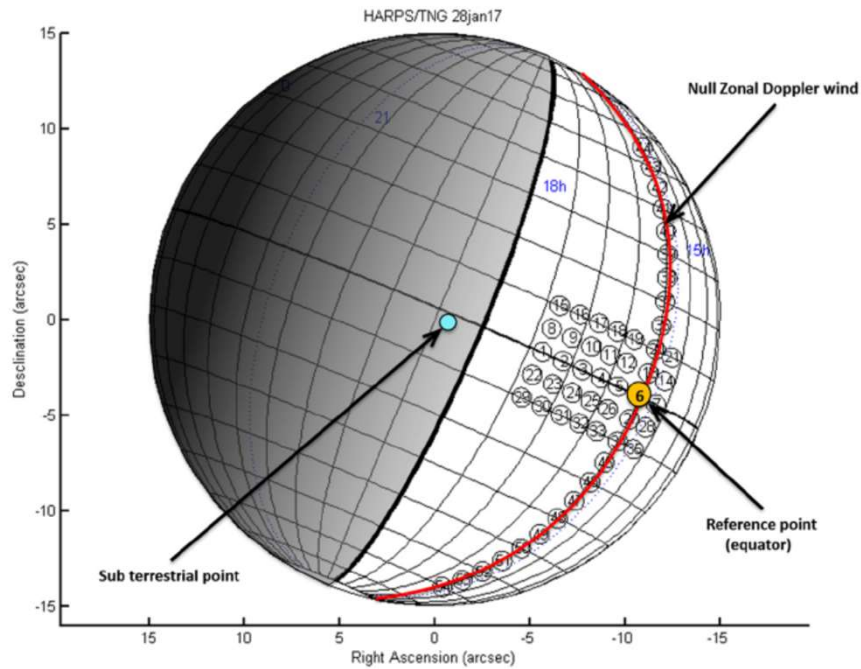
CO enhancement at high latitudes due to downward transport of CO-rich air in descending branches of Hadley cells

Similar couplings – and much more extensively studied (Cassini) – on Titan\*

\* Ask expert in your room

# Venus wind Doppler velocimetry (HARPS)

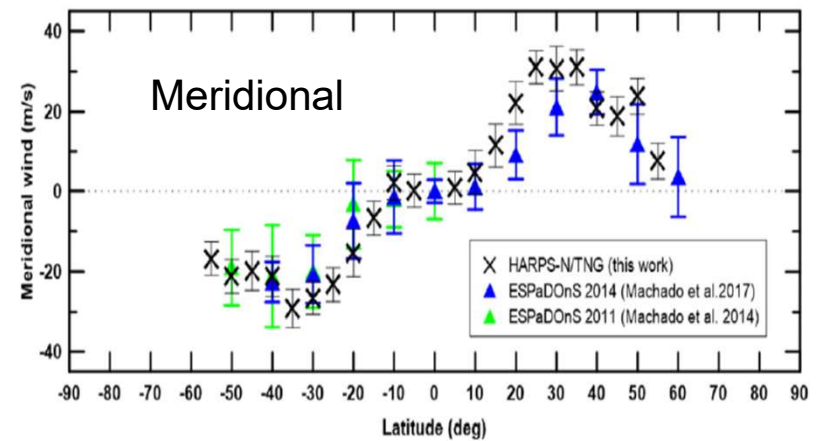
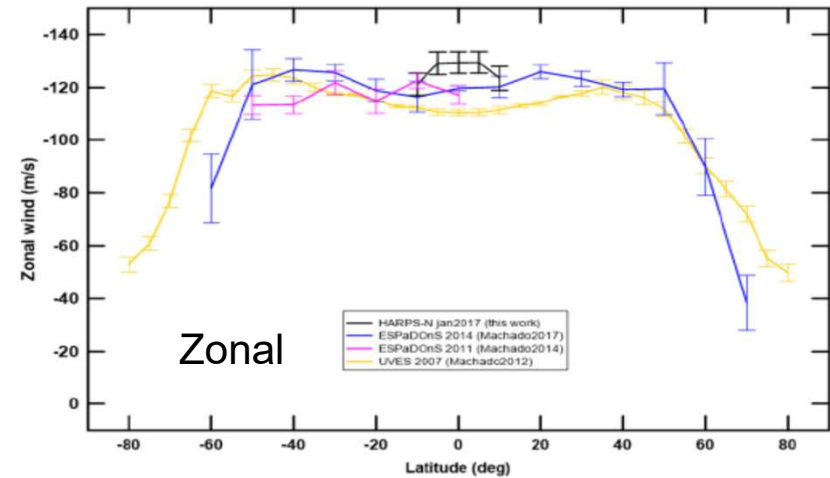
Gonçalves et al. 2020



Uses solar lines  $\rightarrow$  Doppler shift due to  $V_{\text{sun-atmosphere}} + V_{\text{atmosphere-observer}}$

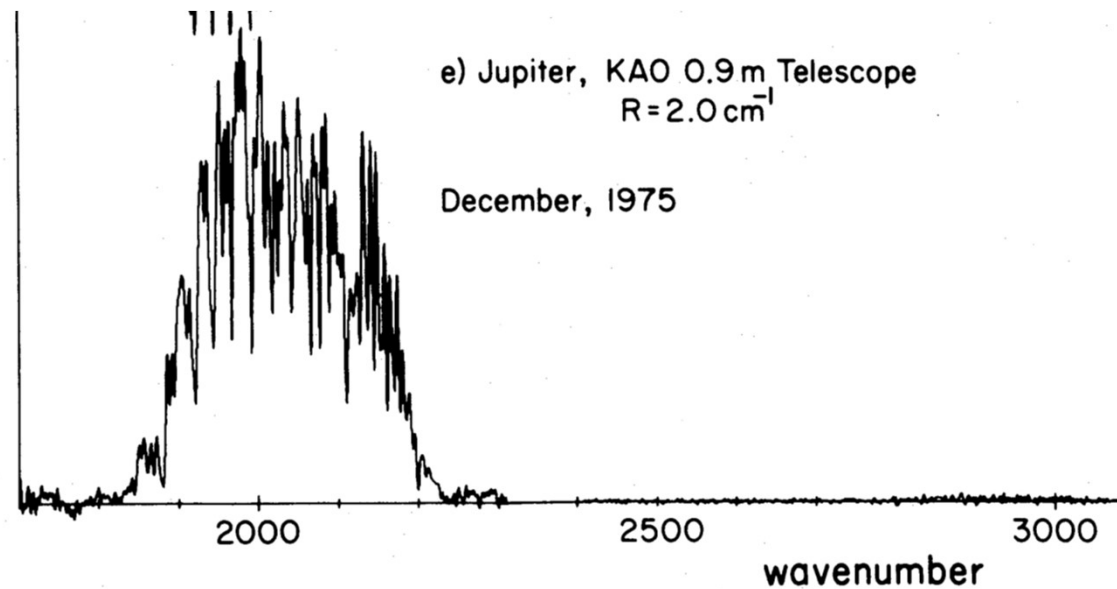
Measure of zonal and meridional winds

Feasible with SPIRou in near-IR (solar or CO<sub>2</sub> lines)



Complements cloud-tracking techniques  
Confirms the equator-to-pole Hadley cells

# Sounding the tropospheres of the Giant Planets in the 5- $\mu\text{m}$ window



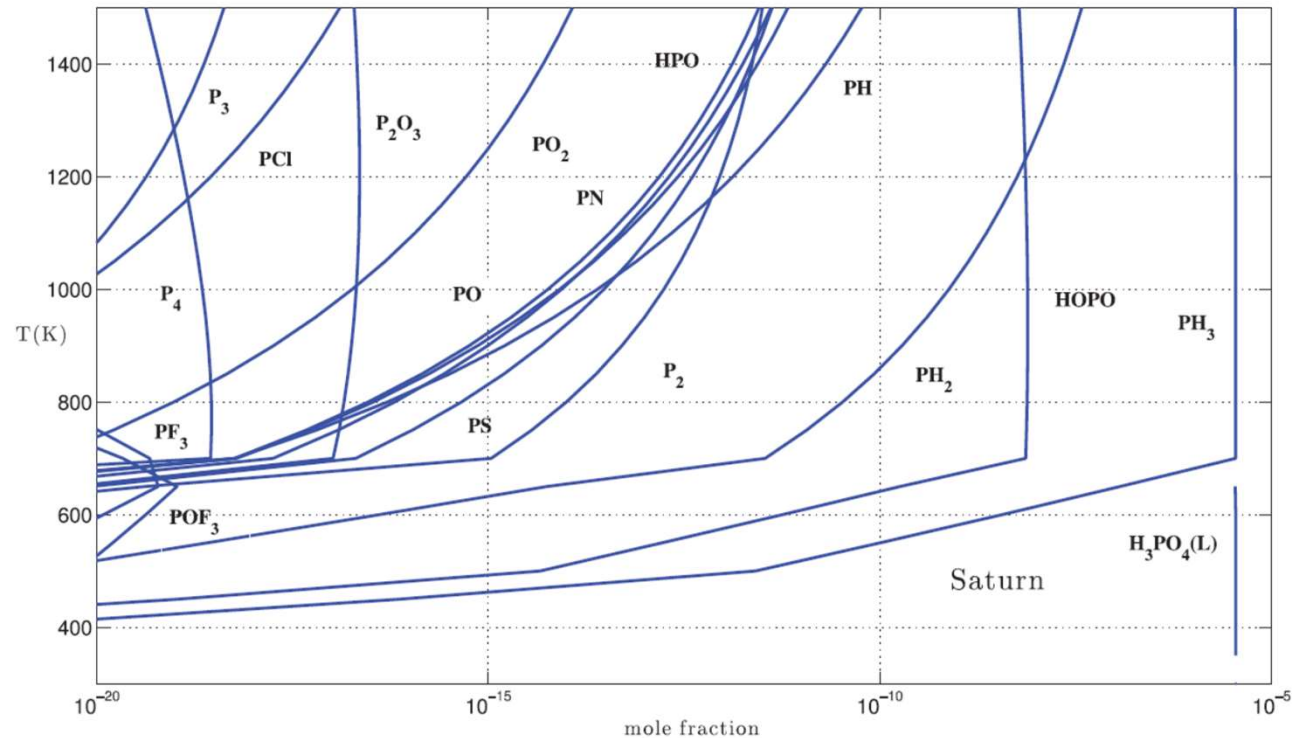
Hot radiation originating from  $\sim 3\text{-}5$  bar levels (due to low  $\text{H}_2$  and  $\text{CH}_4$  opacity)

**Phosphine ( $\text{PH}_3$ )**\* detected in Jupiter & Saturn as early as 1975, and recognized quickly as a « disequilibrium species »

\* This phosphine detection is serious, unlike the Venus one



# PH<sub>3</sub>: a disequilibrium species in Giant Planets



Wang et al. 2016

At chemical equilibrium PH<sub>3</sub> is the dominant carrier of P only at  $T > 700$  K

Observations probe only layers with  $T \lesssim 200$  K  $\rightarrow$  should see zero PH<sub>3</sub> if atmosphere at equilibrium.

However, chemistry is not instantaneous... Competition between chemical destruction and vertical eddy transport

Quench level : where  $t_{\text{chem}} \sim t_{\text{dyn}}$

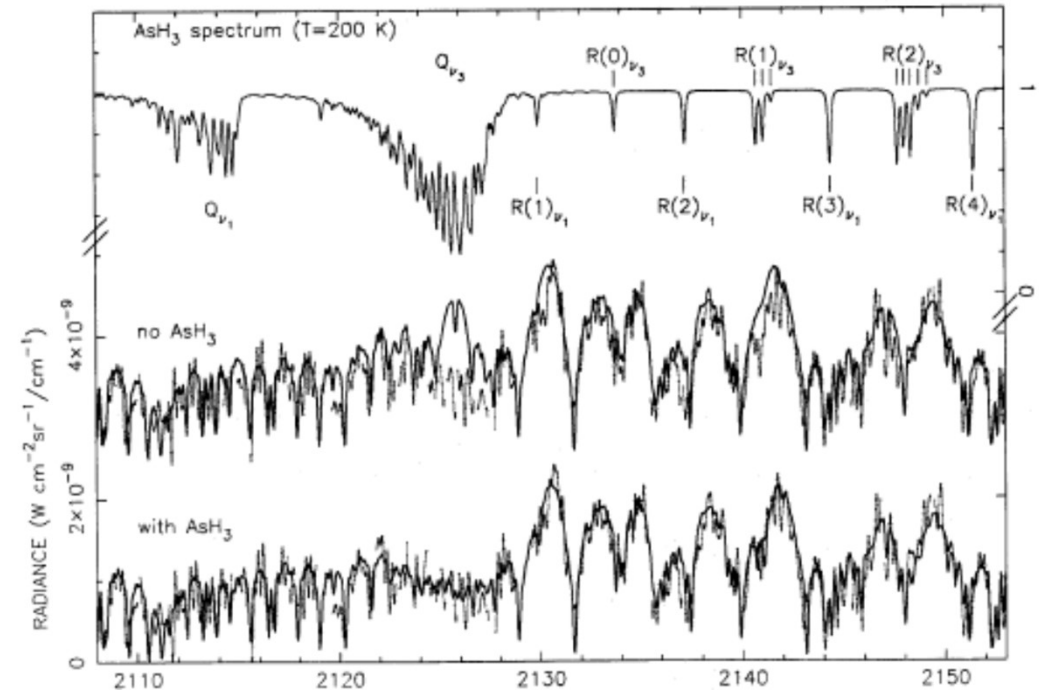
Observations « see » the quench level  
Occurs at  $T \sim 900$  K for phosphine, where PH<sub>3</sub> is stable

$\rightarrow$  Measured PH<sub>3</sub> abundance still gives P/H ratio !

# Exploiting the 5- $\mu\text{m}$ region at high-resolution: Probing Giant Planet disequilibrium chemistry

Disequilibrium species in Jupiter and Saturn

CO, PH<sub>3</sub>, GeH<sub>4</sub>, AsH<sub>3</sub>



Detection of arsine (AsH<sub>3</sub>) in Saturn

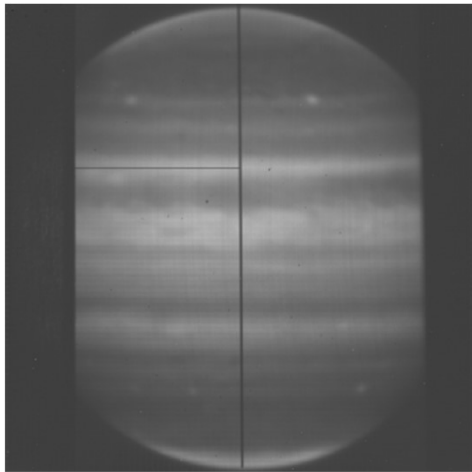
FTS/CFHT, R=22000

Bézard et al. 1990

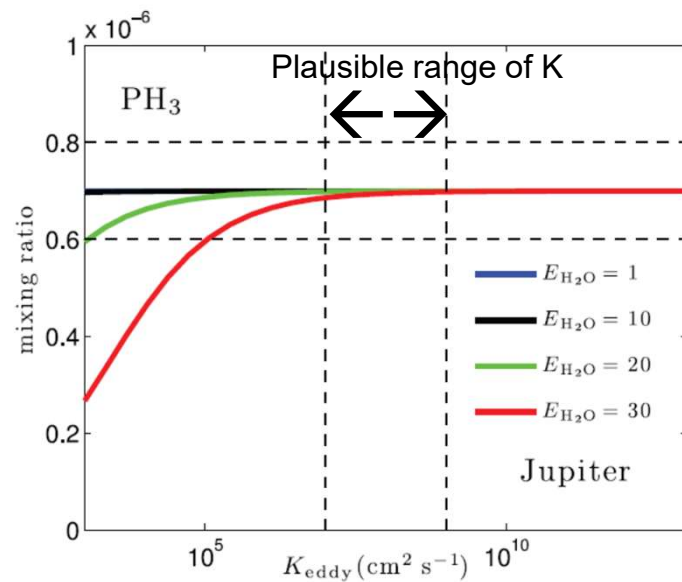
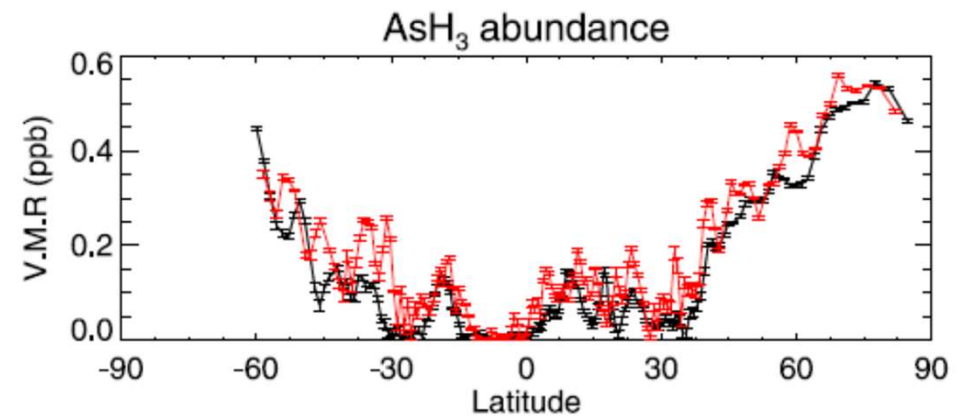
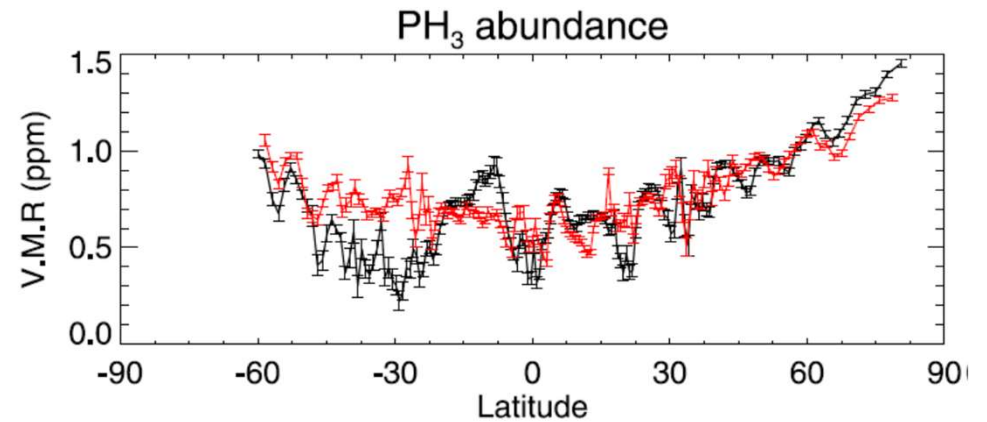
→ As / H ~ 5-10 times solar

**Jupiter and Saturn are enriched in heavy elements (C, N, P, As; + O and noble gases for Jupiter); Saturn more enriched than Jupiter**

# Latitudinal variations of disequilibrium species



Giles et al. 2017  
CRIRES/VLT  
Long-slit spectro.  
R = 96000

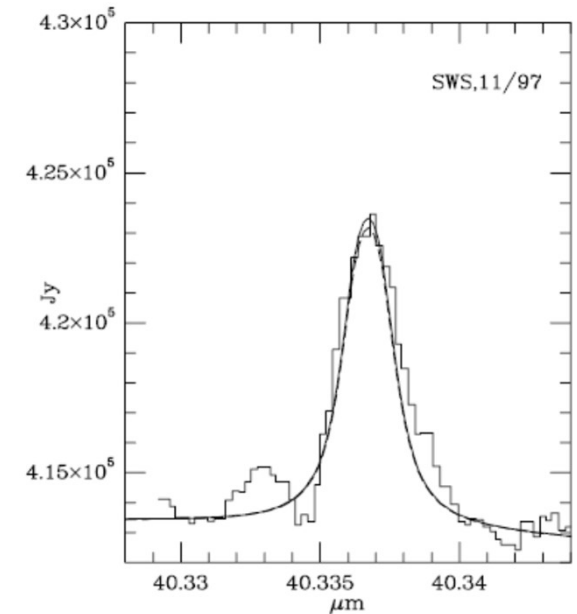
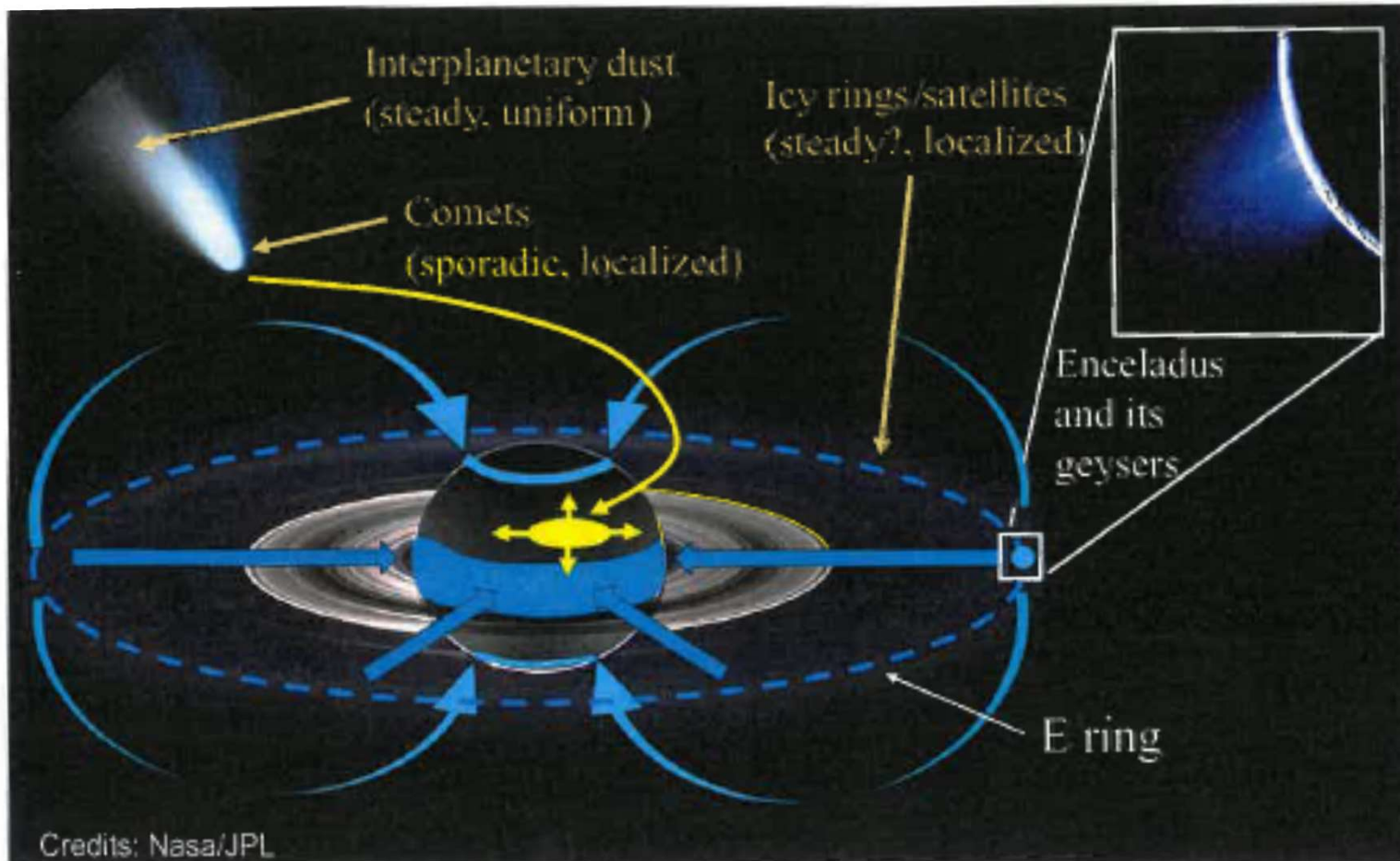


Expected PH3  
abundance vs K

Wang et al. 2016

→ Increased vertical mixing at high latitudes?

# Complication: external sources of material: oxygen in Giant Planets



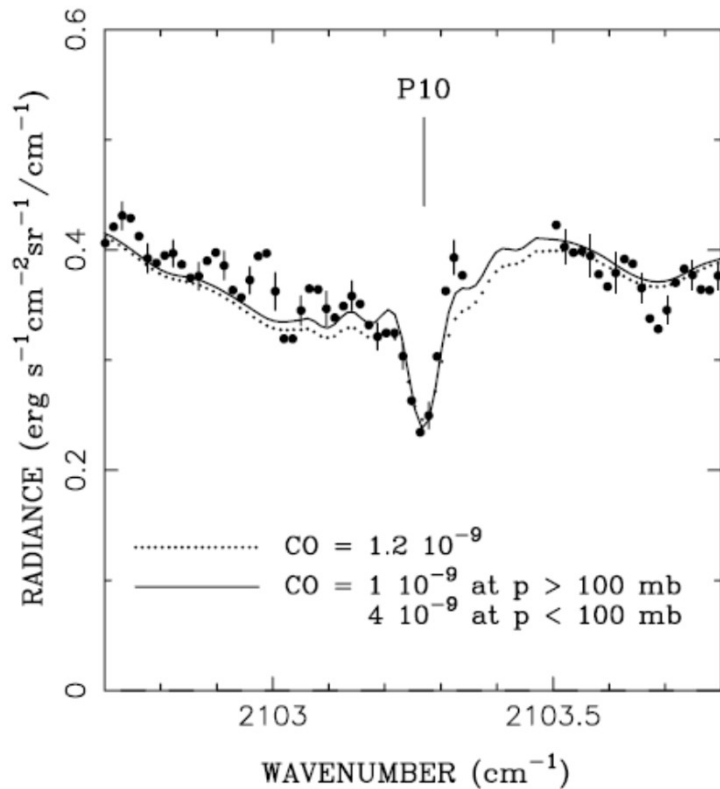
Detection of stratospheric H<sub>2</sub>O in Jupiter

ISO/SWS Fabry Perot  
R = 31000  
Lellouch et al. 2002

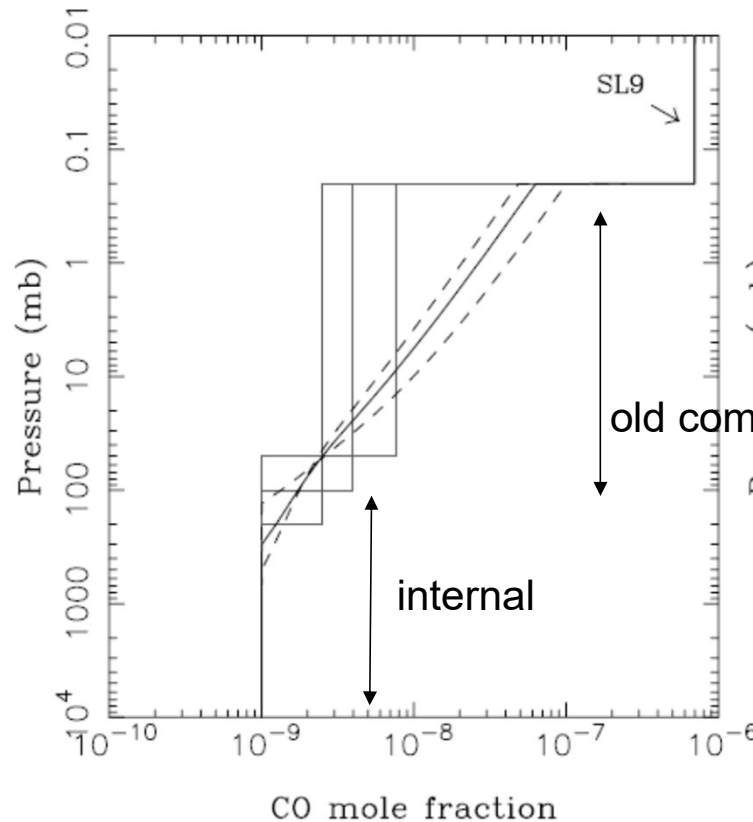
CO in Jupiter: internal (disequilibrium) or external ?



# Disentangling between internal and external sources: CO in Jupiter



Bezard et al. 2002  
CFHT/FTS, R ~50000

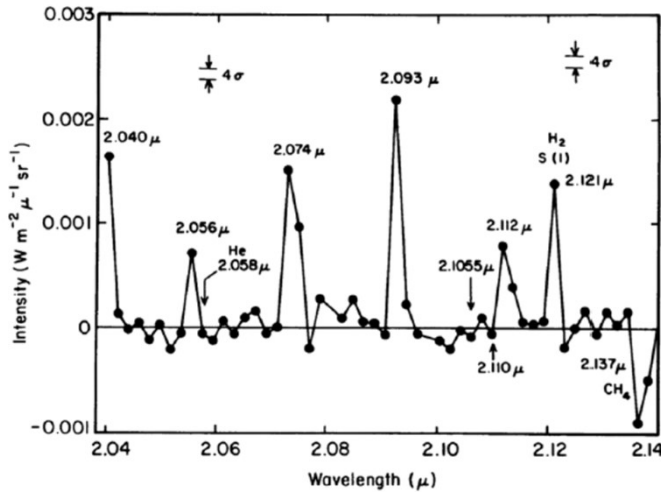


2 CO components (+SL9)

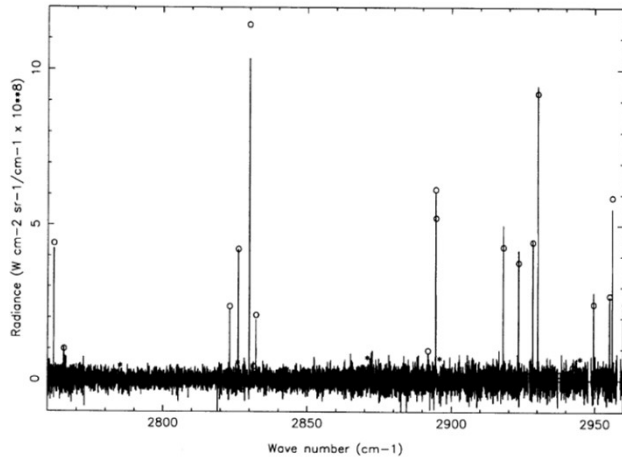
- Internal (1ppb in troposphere)  
Disequilibrium chemistry  
→ O/H (in principle)

- External (enhanced stratospheric abundance) →  
infall of « old » comets;  
constraints on cometary flux

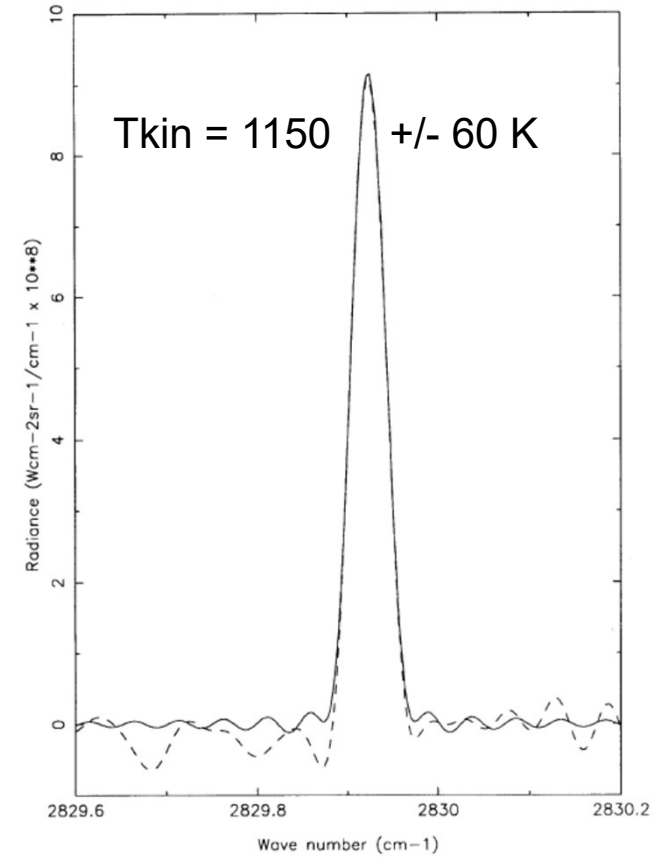
# Probing upper atmospheres: H3+ in Jupiter auroral regions



Unidentified lines in 2 micron spectrum of Jupiter's auroral zones  
 Trafton et al. 1989  
 (Mac Donald grating spectrometer R=1200)



Detection of H3+: **first detection in space**  
 Trot = 1250 +/- 70 K



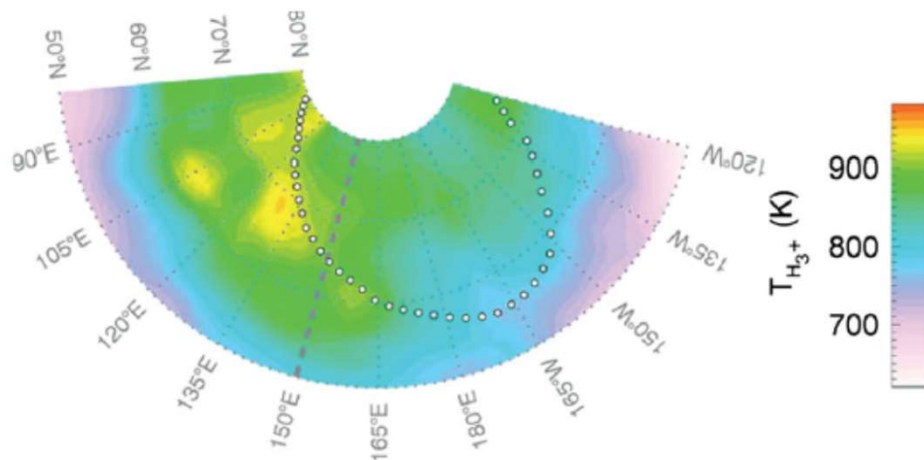
- Quasi LTE emission ( $T_{vib} \sim T_{rot} \sim T_{kin}$ ) from sub-microbar region (10-10 – 10-6 bar)

- **Jupiter's auroral upper atmosphere is hot!**

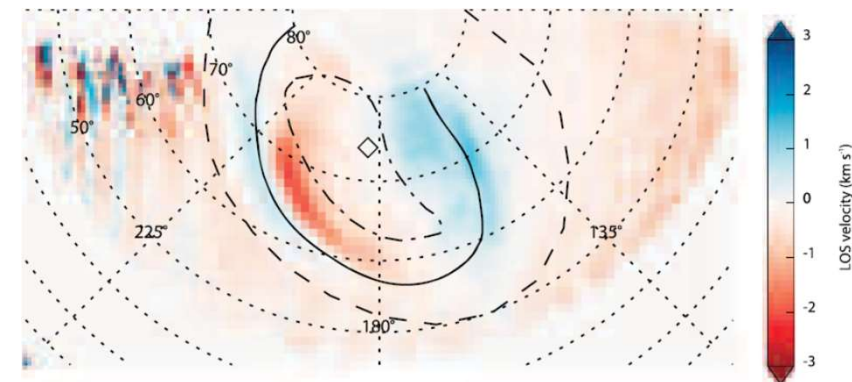
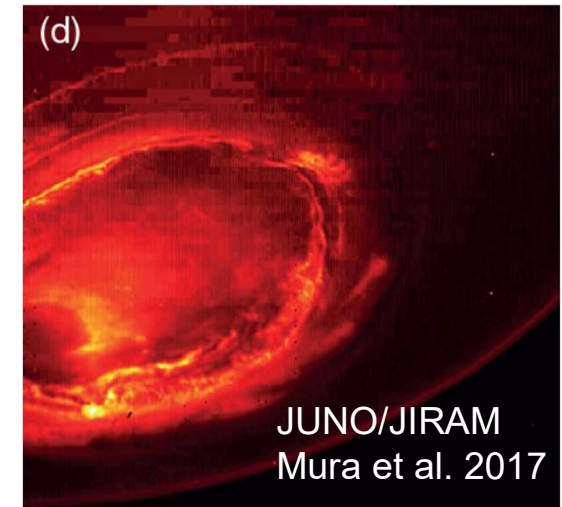
FTS / CFHT: R = 115,000; Drossart et al. 1989, 1993

# H<sub>3</sub><sup>+</sup>: a new sounder of Jupiter's auroral atmosphere

- H<sub>3</sub><sup>+</sup> produced from particle impact and solar EUV ionization of H<sub>2</sub> in sub-microbar atmosphere
- The H<sub>3</sub><sup>+</sup> emission and its morphology constrain: temperature, H<sub>3</sub><sup>+</sup> column densities, winds, and their spatial variations → energy budget, external vs internal forcings (waves...) , dynamics, etc.



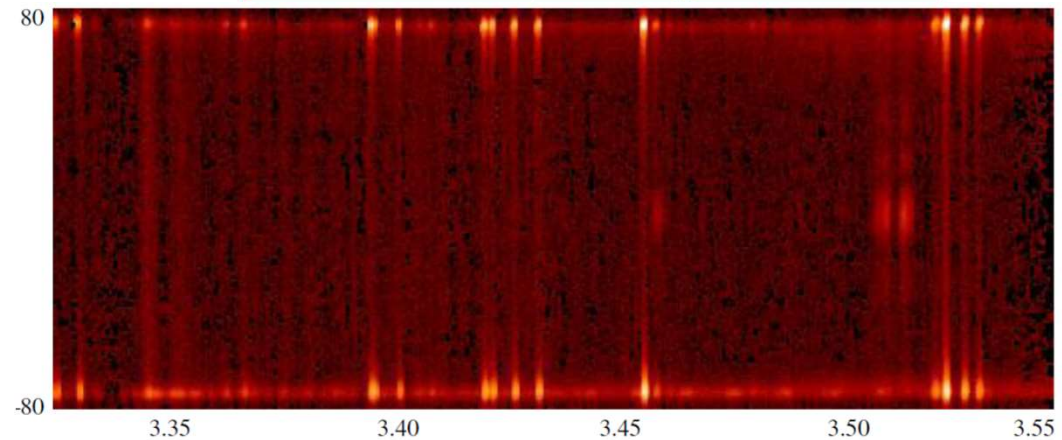
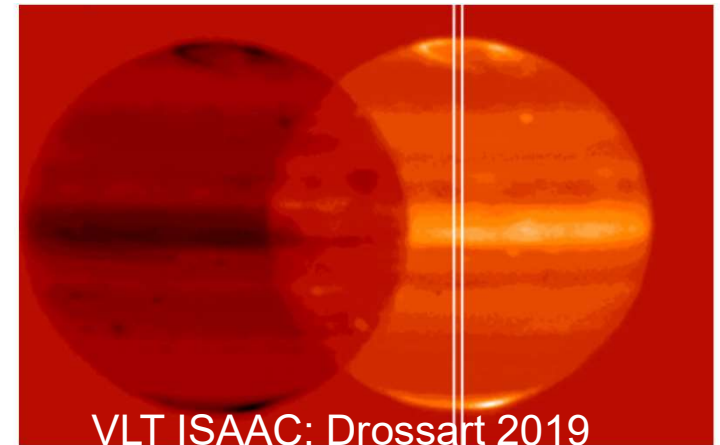
H<sub>3</sub><sup>+</sup> temperature map  
Keck/NIRSPEC, R= 25000  
Moore et al. 2017



H<sub>3</sub><sup>+</sup> winds ~ 2 km/s  
VLT/CRIFRES, R=100000  
Johnson et al. 2017

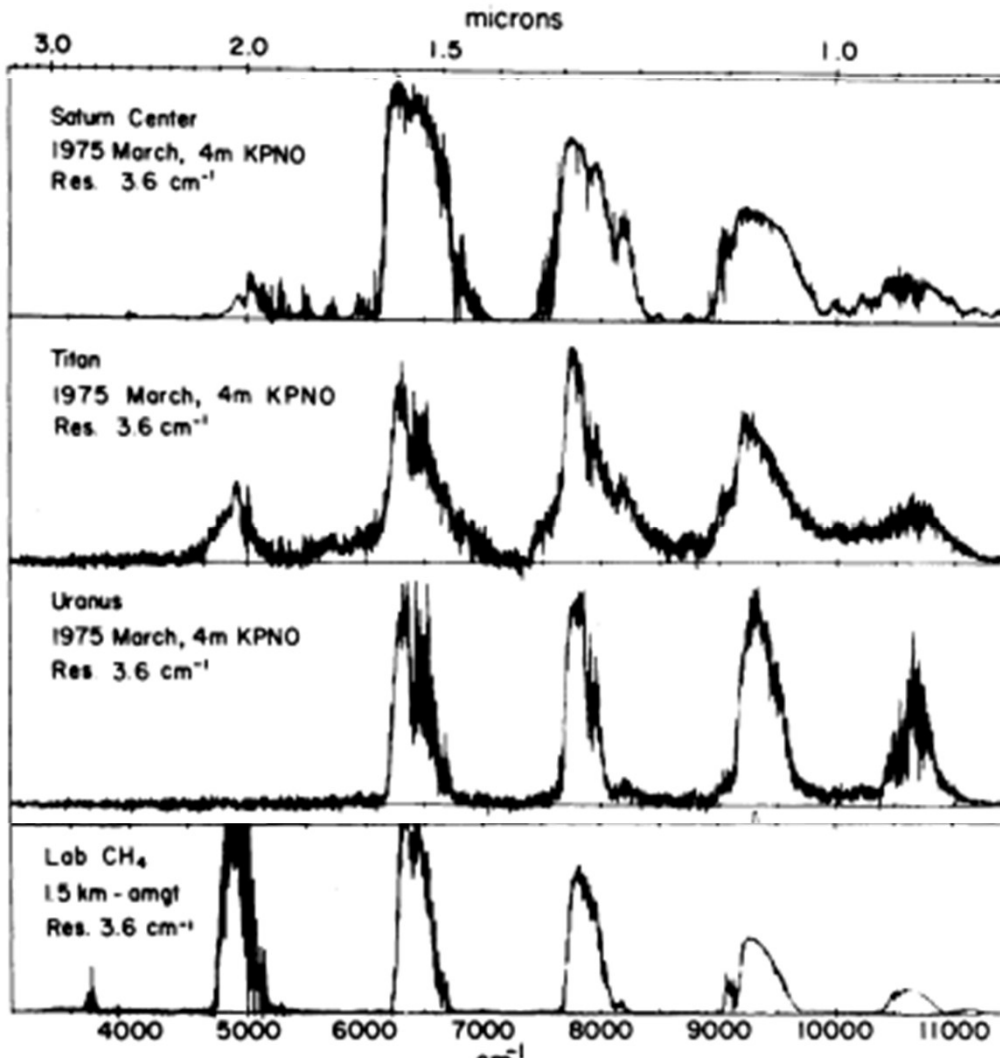
# ... and beyond

- Detected also on **Jupiter low latitudes**, Saturn, Uranus, and beyond the solar System (ISM, extragalactic...)
- Not detected yet on exoplanets
- A key-species, energy-wise
  - Atmospheric coolant (« H<sub>3</sub><sup>+</sup> thermostat »)
  - Cooling by H<sub>3</sub><sup>+</sup> may also participate in stabilization of exoplanets against escape (not on too irradiated exoplanets due to H<sub>2</sub> dissociation)
- See extensive review by Miller (2020): « Thirty Years of H<sub>3</sub><sup>+</sup> astronomy »





## Outer planets in the 0.8- 2.5 $\mu\text{m}$ : Lord Methane



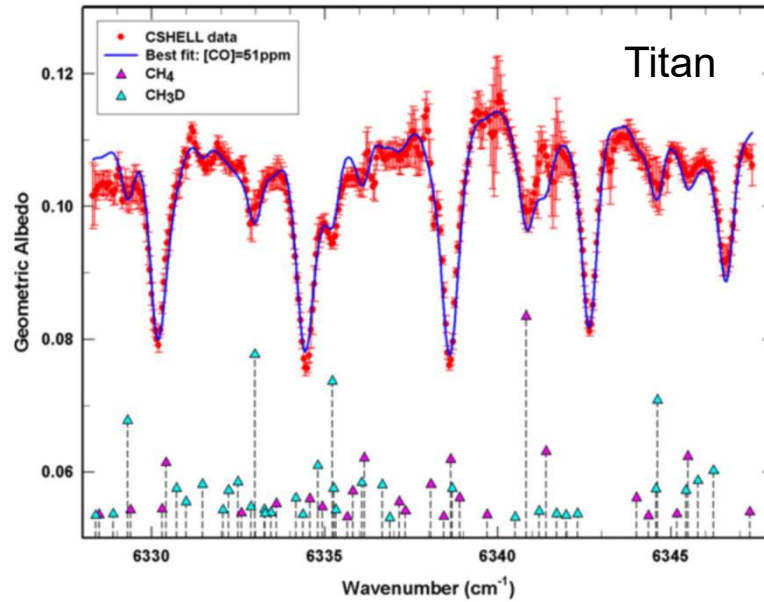
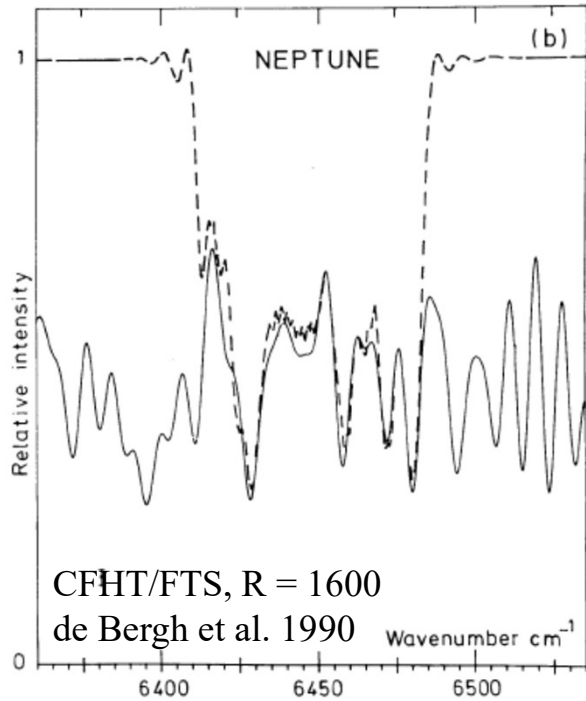
- Absorption by methane gas
- Scattering by haze

Not fully exploited due to lack of spectroscopic parameters for methane –long paths, low temperature

Initially, focus on some specific regions

Fink and Larson, 1979  
R =  $3.6 \text{ cm}^{-1}$ ; KPNO/FTS

# Deuterium in the outer Solar System (CH<sub>3</sub>D)



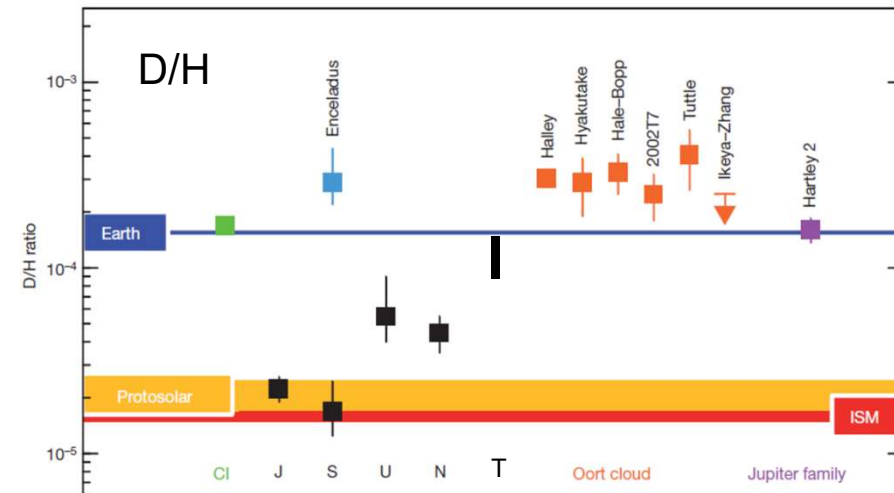
IRTF/CSHELL R=18000  
De Bergh et al. 2012

Distinction between D/H in

- J, S (solar nebula gas)
- U, N (D enrichment due to mixing of atmosphere with D-rich icy cores, or accretion of ices in the envelopes)

Owen et al. Nature, 1986. **Deuterium in the outer solar system – Evidence for two distinct reservoirs**

Hartogh et al. 2011



# Deuterium in exoplanets (CH<sub>3</sub>D, HDO): when?







THE ASTROPHYSICAL JOURNAL LETTERS, 882:L29 (8pp), 2019 September 10

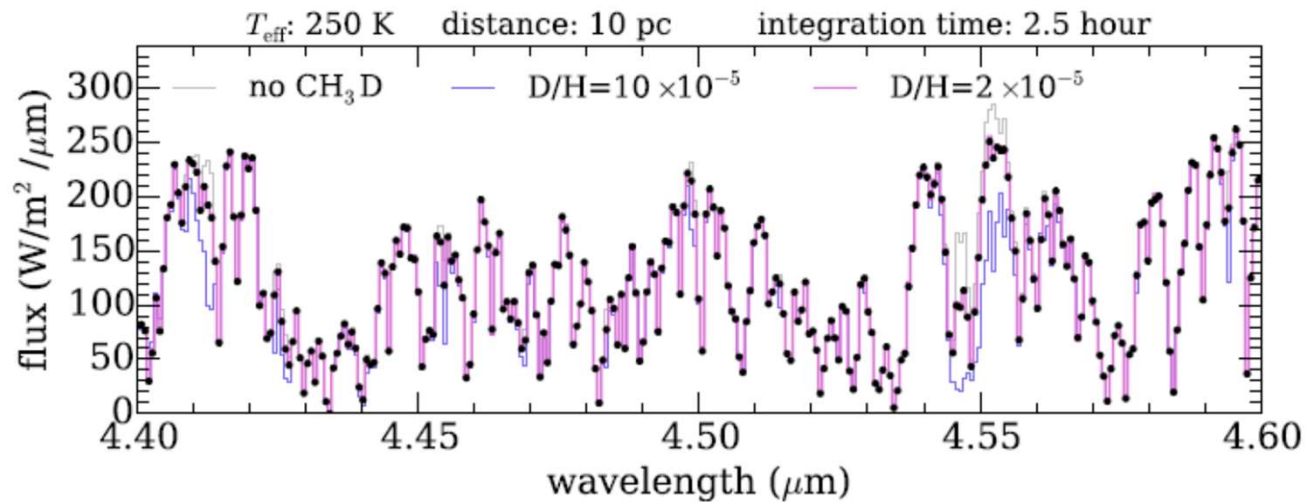
<https://doi.org/10.3847/2041-8213/ab3c65>

© 2019. The American Astronomical Society. All rights reserved.



## Measuring the D/H Ratios of Exoplanets and Brown Dwarfs

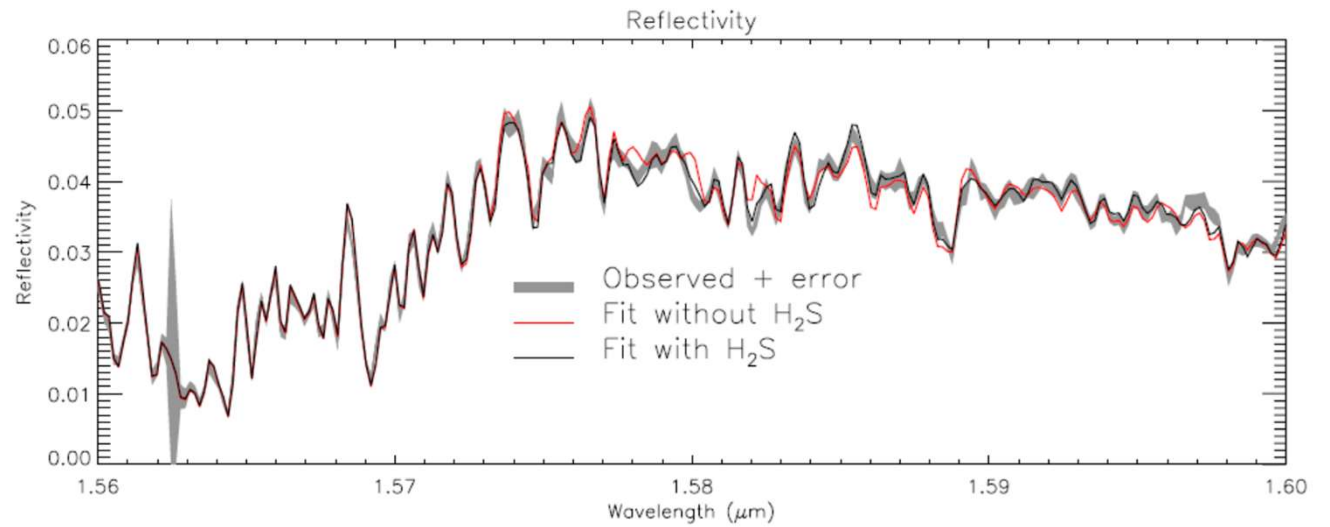
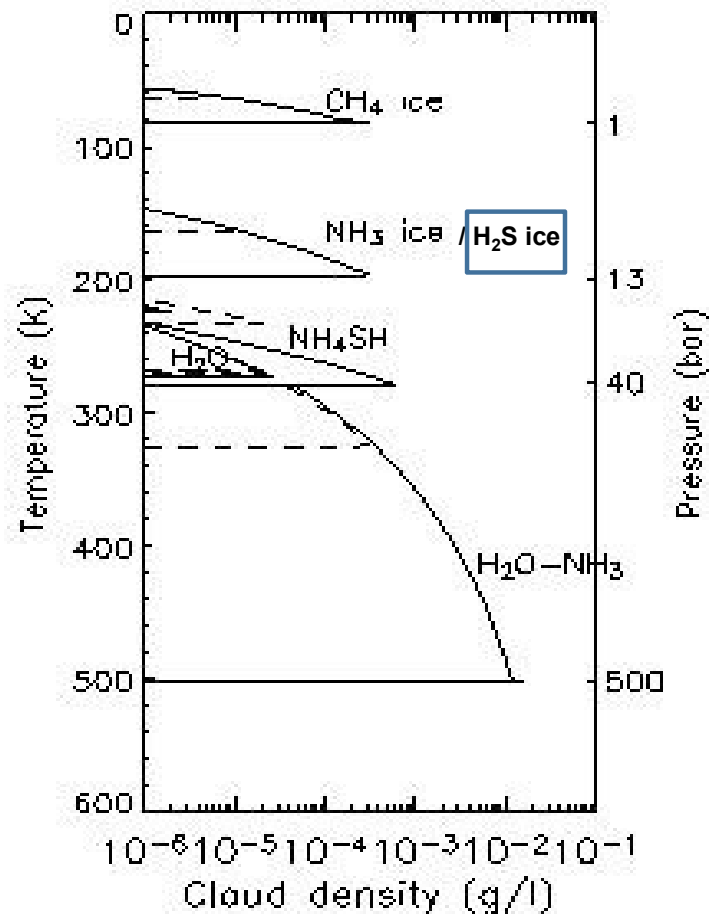
Caroline V. Morley<sup>1</sup> , Andrew J. Skemer<sup>2</sup> , Brittany E. Miles<sup>2</sup> , Michael R. Line<sup>3</sup> , Eric D. Lopez<sup>4</sup>, Matteo Brogi<sup>5,6,7</sup> ,  
Richard S. Freedman<sup>8,9</sup>, and Mark S. Marley<sup>9</sup> 



# Detection of H<sub>2</sub>S on Uranus (finally !)

Equilibrium cloud condensation model

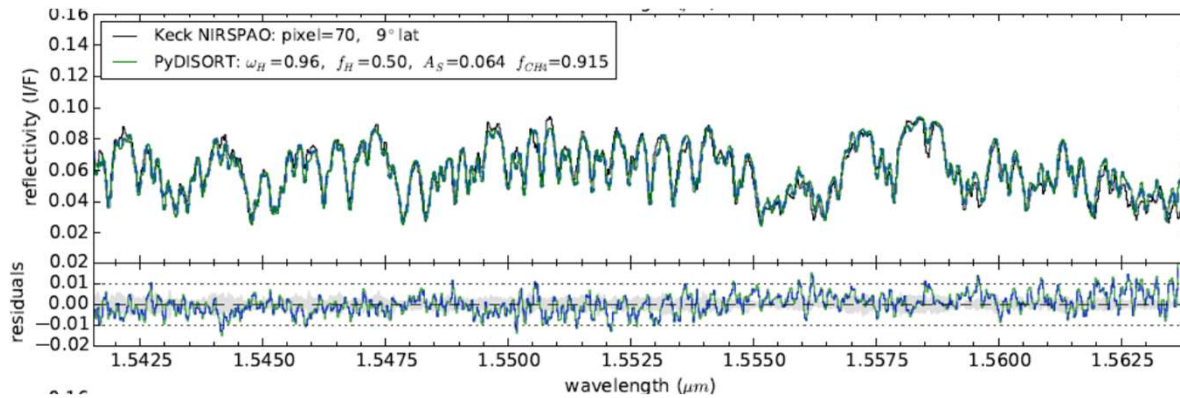
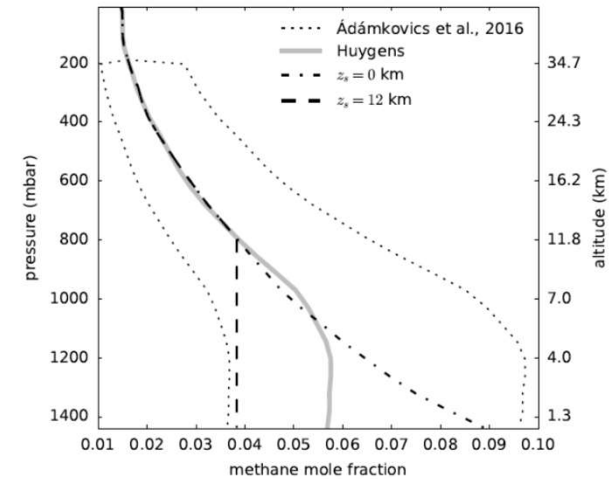
C,N,S,O 1x and 50x solar



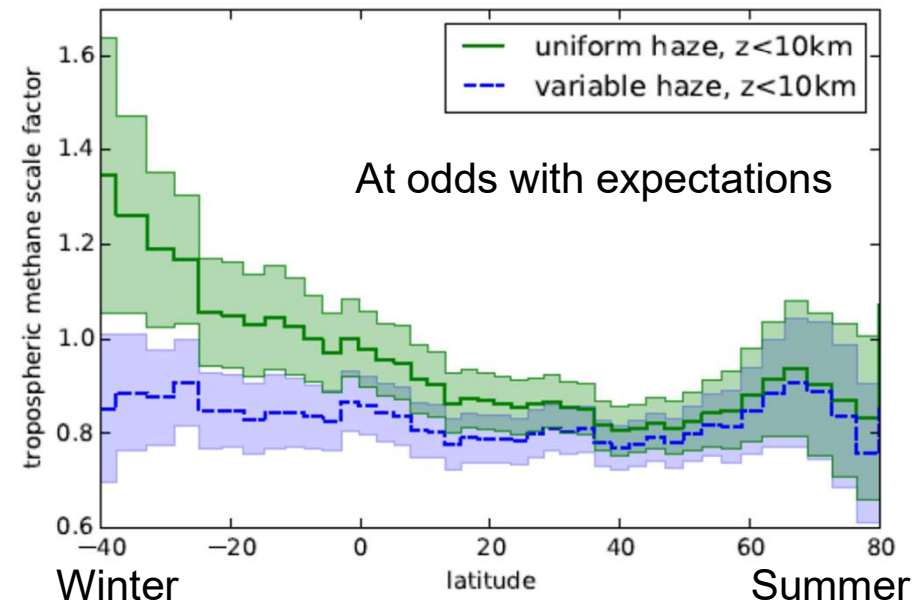
Gemini/NIFS, R = 5300  
Irwin et al. 2018

# Trying to characterize Titan methane cycle in the near-IR

- Titan's methane exhibits « hydrological » cycle, including clouds, rain, lakes, mare, sources at the surface (evaporation) and likely sub-surface reservoirs
- Huygens measured very precisely the methane profile at the entry site
- How does the methane abundance / profile in the troposphere vary with latitude location and time ?

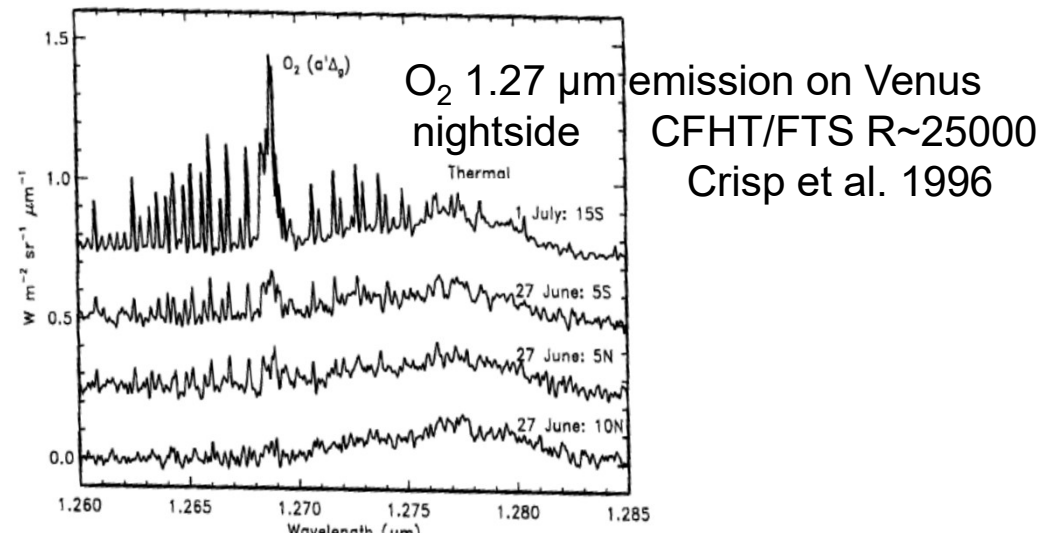
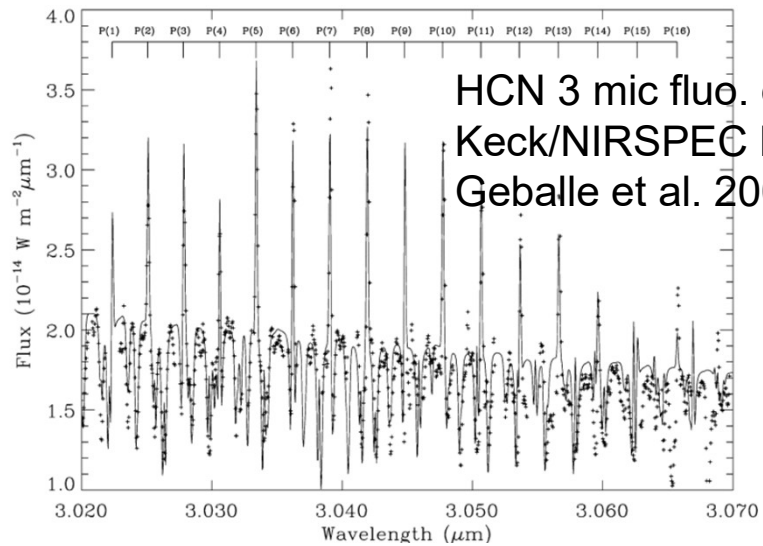
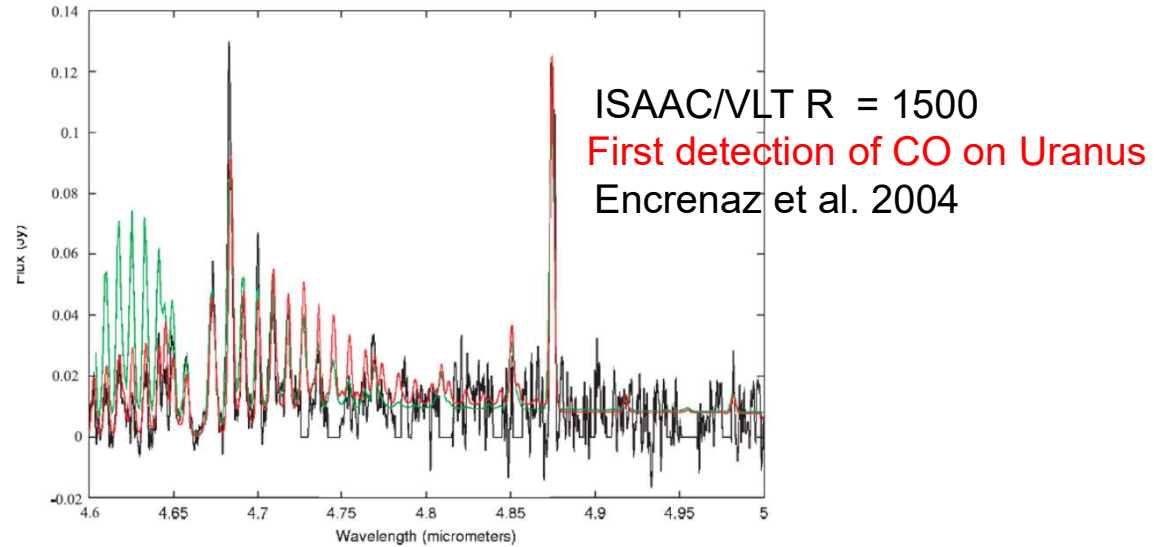
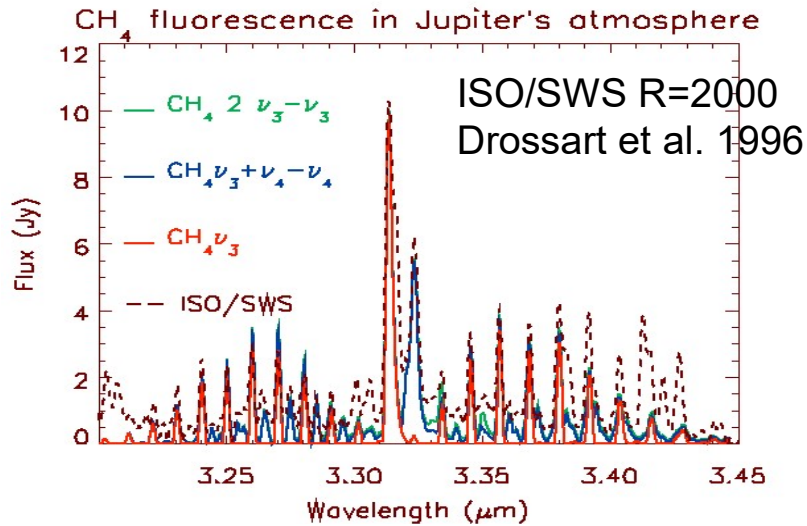


Adamkovics et al. 2016  
Keck/NIRSPEC, R ~25000





# Fluorescent (non-LTE) emissions from upper atmospheres



# Fluorescence: not trivial to model...

- Many non-thermal processes (energy transfer between bands, photochemistry, chemical recombination...)

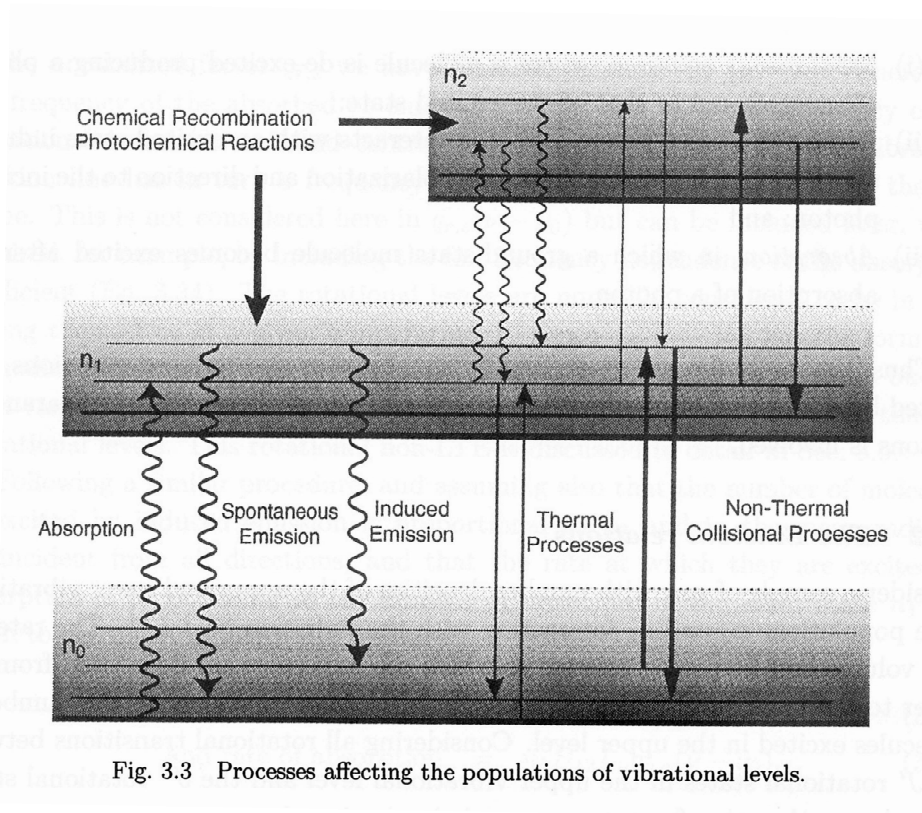
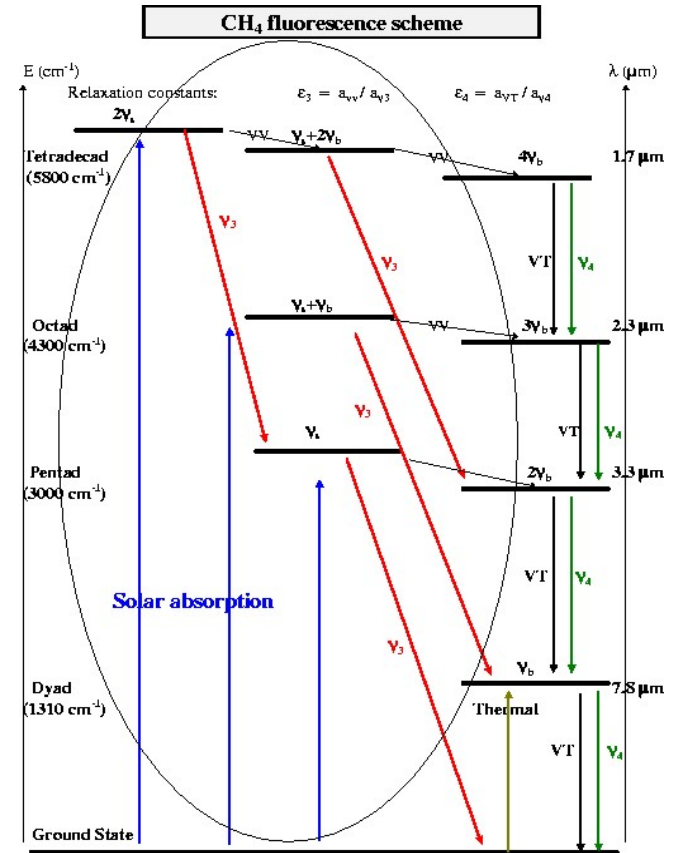


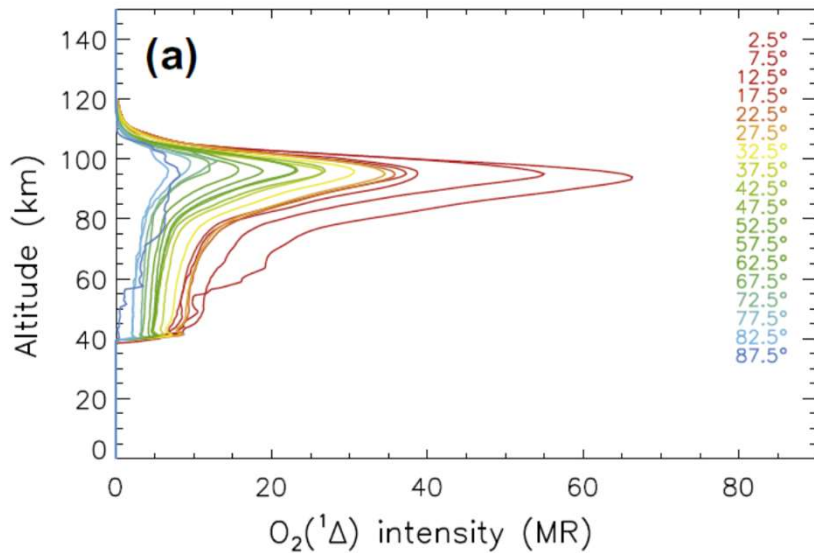
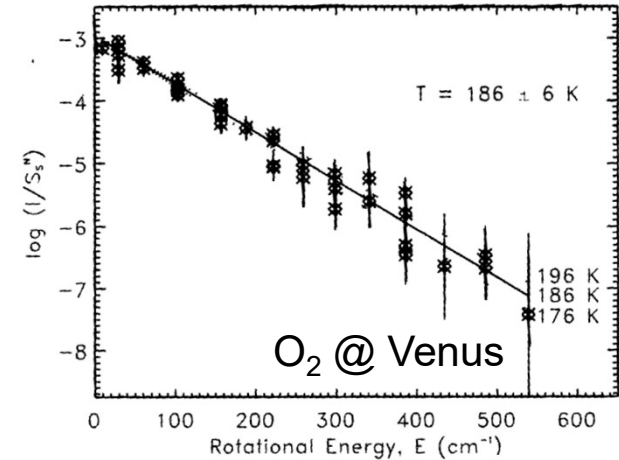
Fig. 3.3 Processes affecting the populations of vibrational levels.



Often difficult to use them for quantitative composition measurements...

# Fluorescent (non-LTE) emissions from upper atmospheres what do they teach us ?

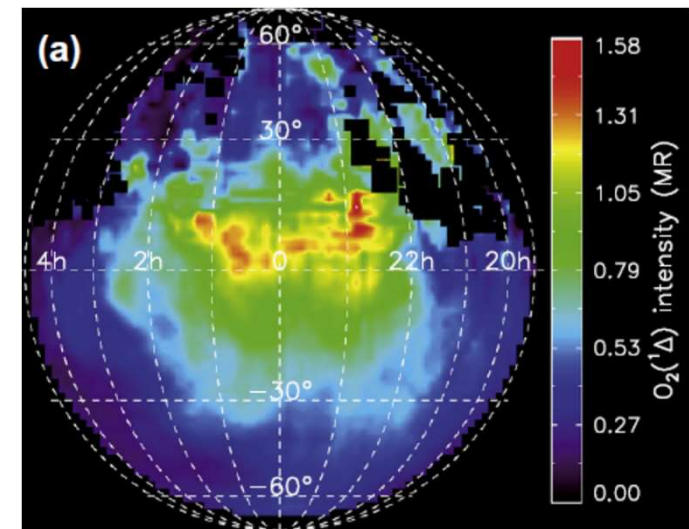
- Temperature of emitting layer from rotational diagram
- Altitude of emission determined
  - From model ?
  - From vertical profiles of emission (limb sounding from spacecraft)
- Mapping → Morphology of emission → atmospheric dynamics



Soret et al. 2012 –  $O_2$  fluorescence @ Venus

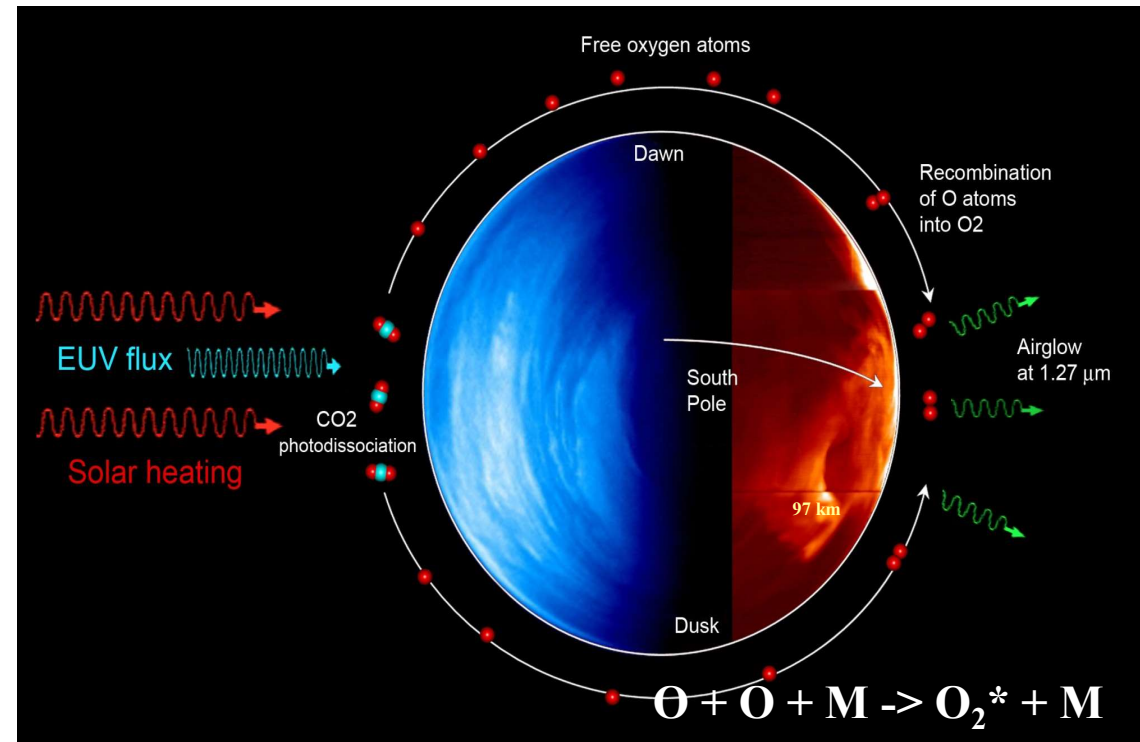
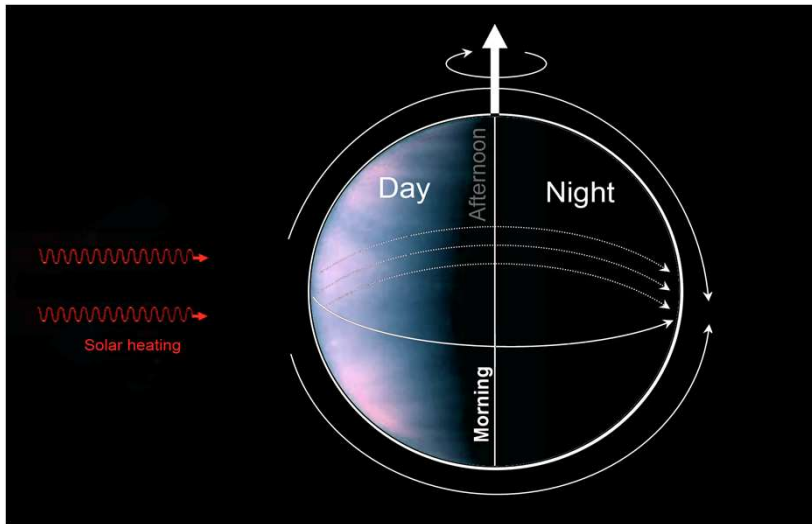
Venus  
Express

$O_2$  emission  
peaks near  
midnight





# O<sub>2</sub> (Δ) production and nightglow on Venus




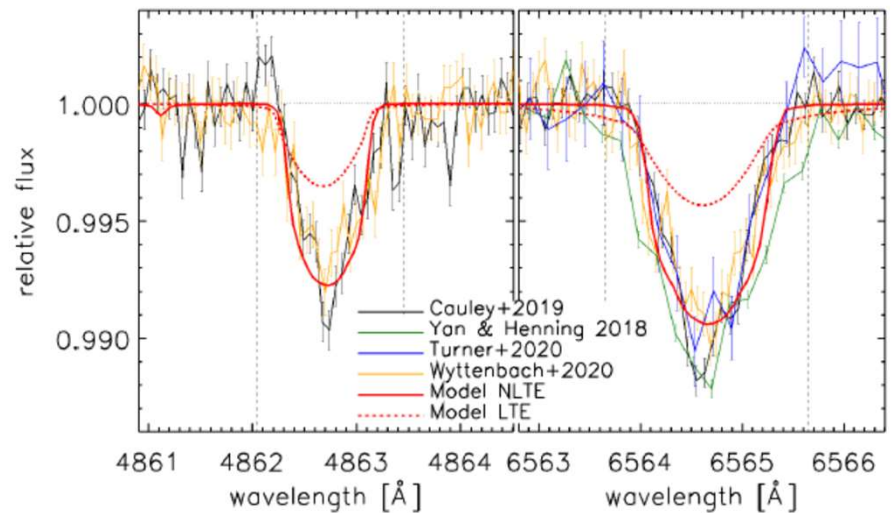
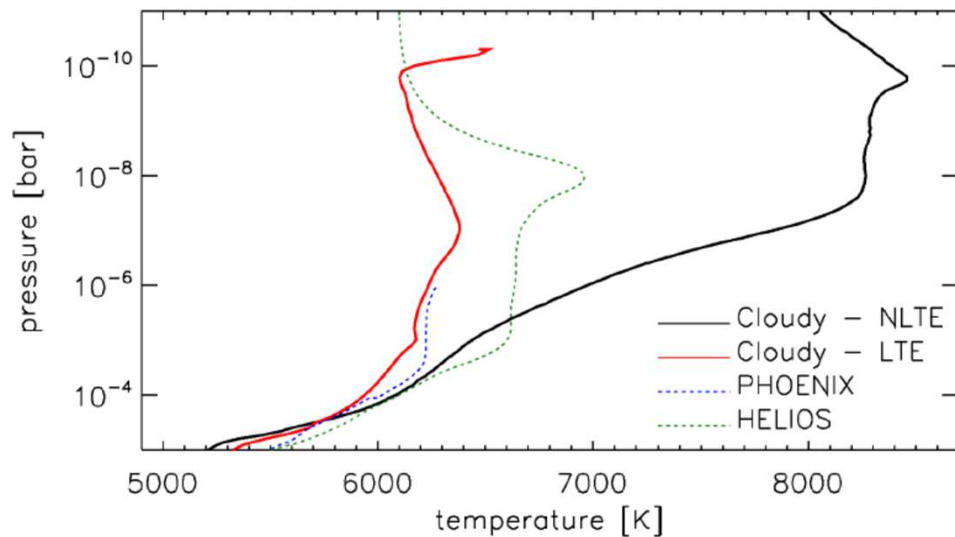
→ a tracer of winds in the atmosphere of Venus near 95 km (subsolar-to-antisolar & zonal flows)

But so far no direct wind measurements in O<sub>2</sub> 1.27 micron emission → SPIRou ?

Probably important for exoplanets, too...

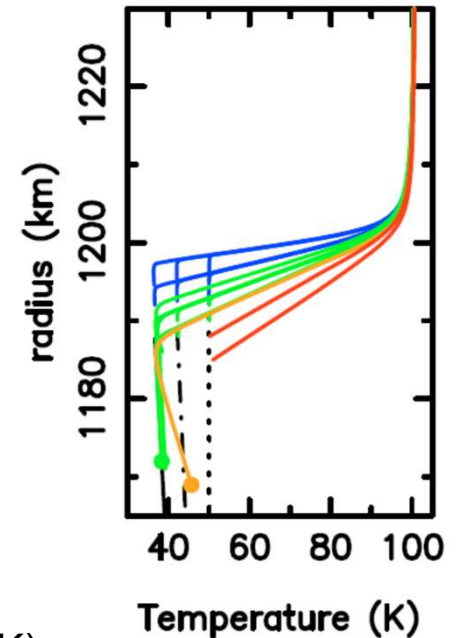
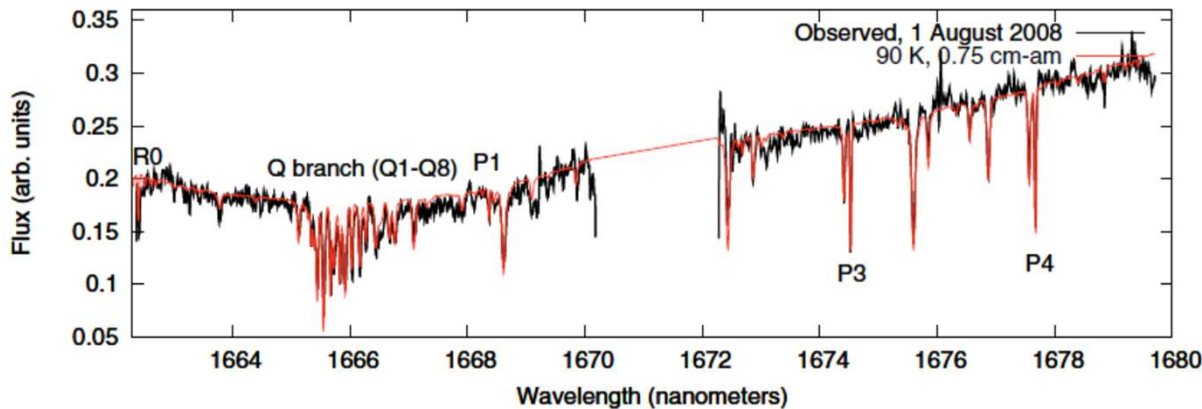
## Non-local thermodynamic equilibrium effects determine the upper atmospheric temperature structure of the ultra-hot Jupiter KELT-9b

L. Fossati<sup>1</sup> , M. E. Young<sup>2,1</sup>, D. Shulyak<sup>3</sup>, T. Koskinen<sup>4</sup>, C. Huang<sup>4</sup>, P. E. Cubillos<sup>1</sup>, K. France<sup>5,6</sup>, and A. G. Sreejith<sup>1</sup>

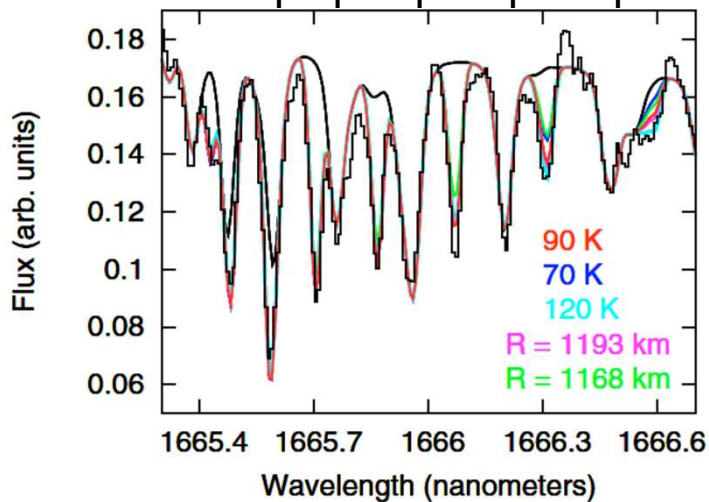


# Probing tenuous atmospheres at high resolution

Pluto's atmosphere ( $\sim 10 \mu\text{bar}$ ) from combined VLT/CRIFRES spectroscopy ( $R=60.000$ ) and stellar occultation



## Q branch



Pluto's Doppler shift  $\sim 20 \text{ km/s}$

Has constrained

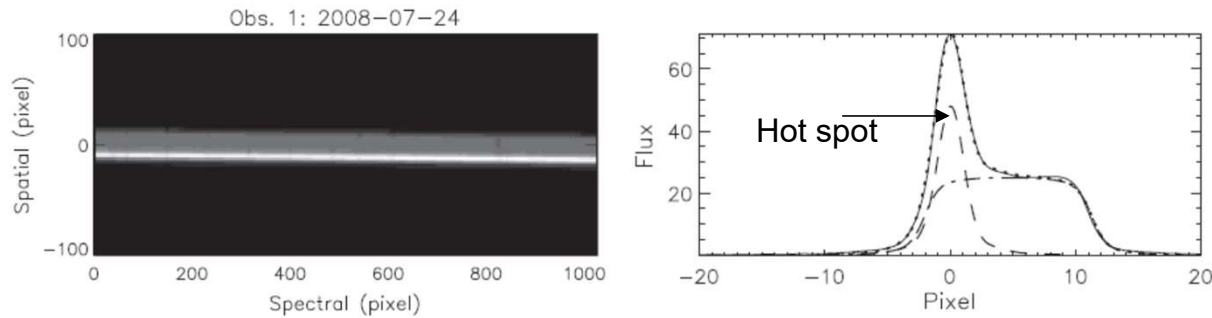
- Mean atmosphere temperature (90 K)
- Methane abundance
- Lower atmosphere structure and Pluto radius

Results later validated by New Horizons space probe

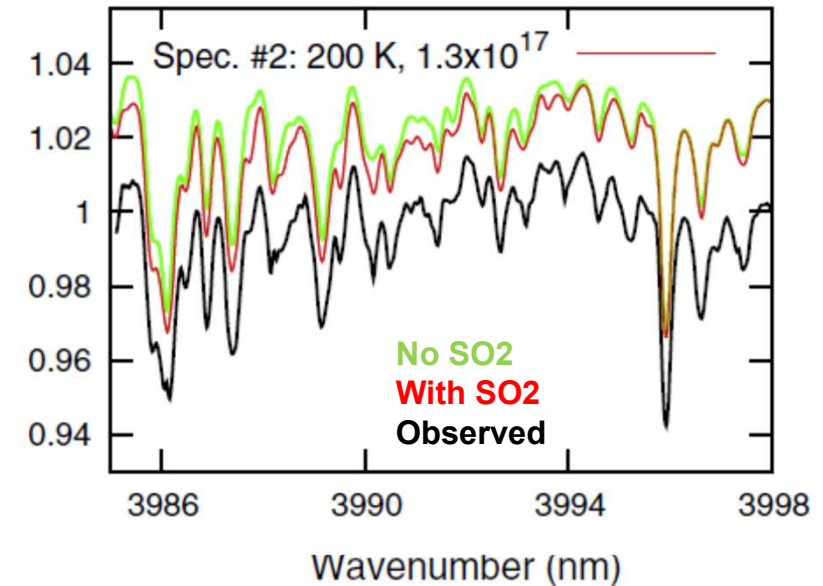
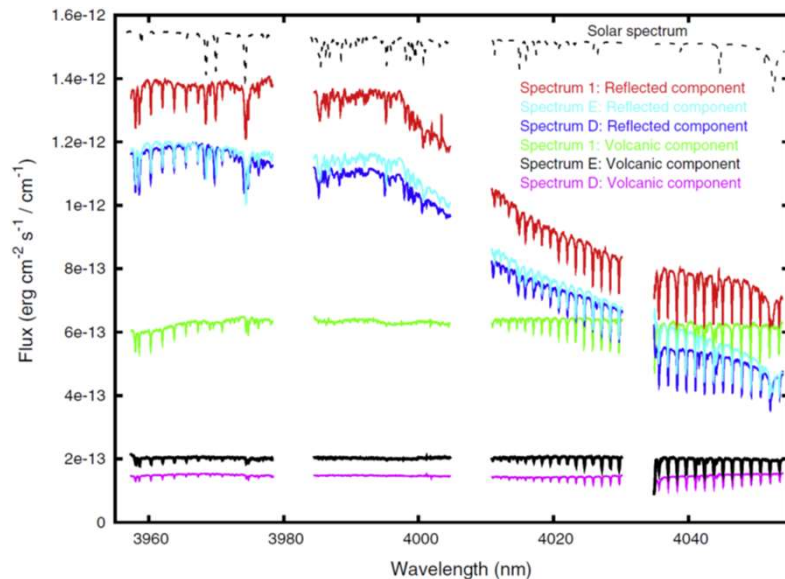
Lellouch et al. 2009

# Even more tenuous atmosphere: Io ( $\text{SO}_2$ , $\sim 1$ nbar)

## Extracting $\text{SO}_2$ from the jungle of solar lines



CRIRES/ILT R = 40,000



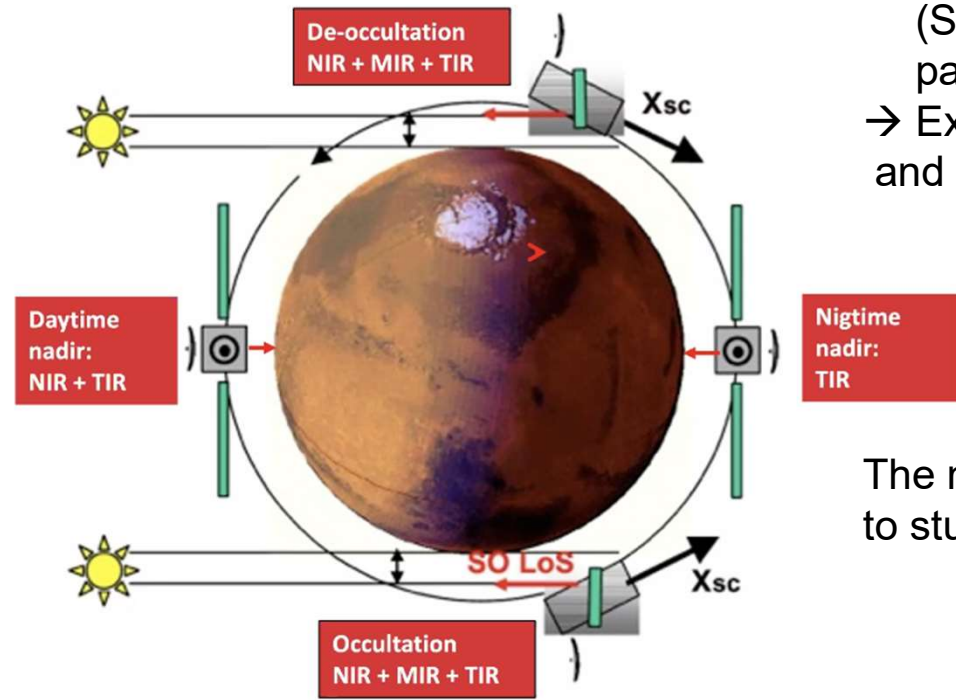
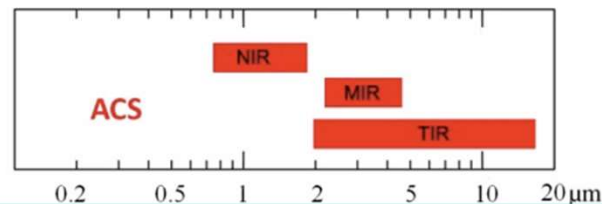
Measuring  $\text{SO}_2$  in both solar reflected light and thermal (volcanic) emission  $\rightarrow$  constraints on both the sublimation and volcanic components of Io's atmosphere

Lellouch et al. 2015

# The ultimate weapon to study atmospheres in the IR: High resolution coupled with limb sounding from spacecraft

ACS on Trace Gas Orbiter (Mars)

Mass:	33.5 kg		
Dimensions:	600×470×520 mm <sup>3</sup>		
Power consumption:	55 W (average)		
Data rate:	1 to 3 Gbit/day		
ACS Channel	Spectral range (μm)	Inst. Range (μm)	Spectral resolution
Mid-IR (MIR)	2.3-4.2	0.1-0.25	>30 000
Near-IR (NIR)	0.75-1.7	~0.17	>24 000
Thermal-IR (TIR)	1.7-17	full range	Occ.: 0.25cm <sup>-1</sup> Nad.: 1.6 cm <sup>-1</sup>

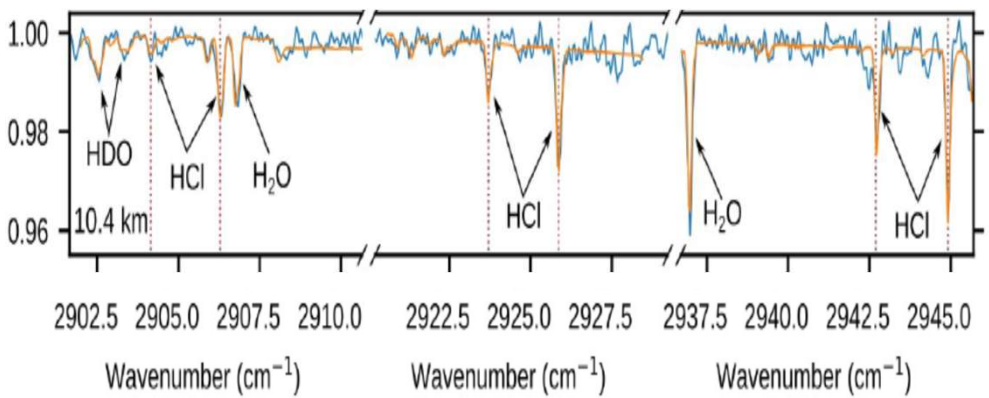
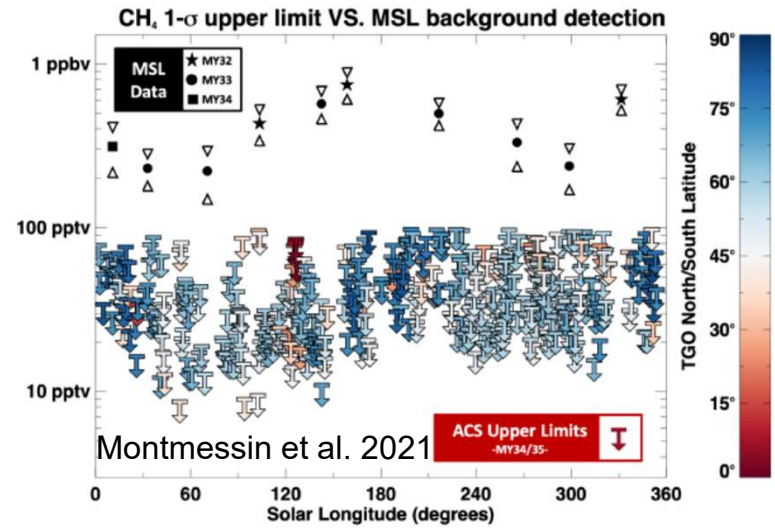
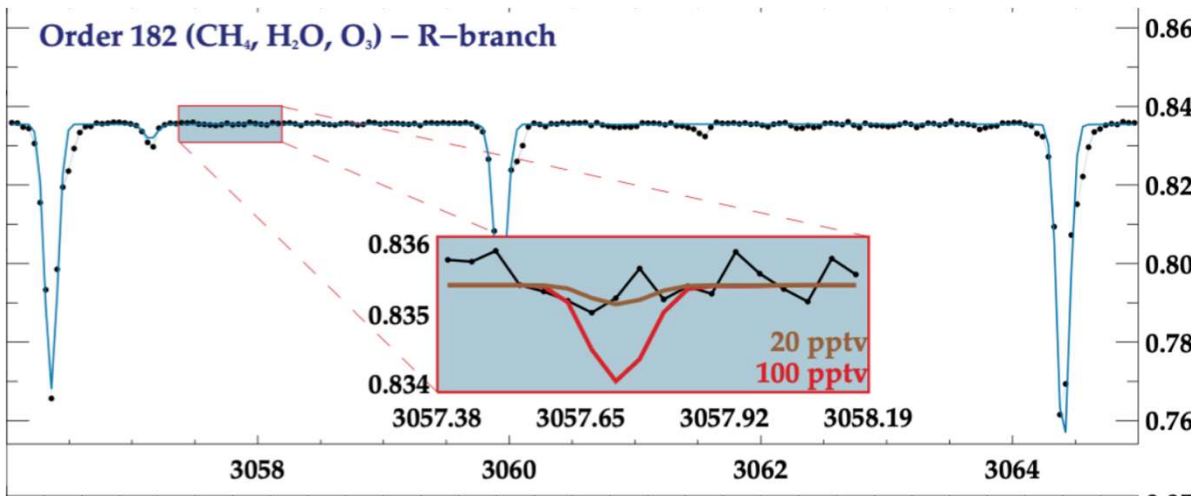


- R ~ 30.000
- Solar occultation
- Strong continuum source (Sun) and long absorption path along limb
- Extremely strong sensitivity and vertical resolution

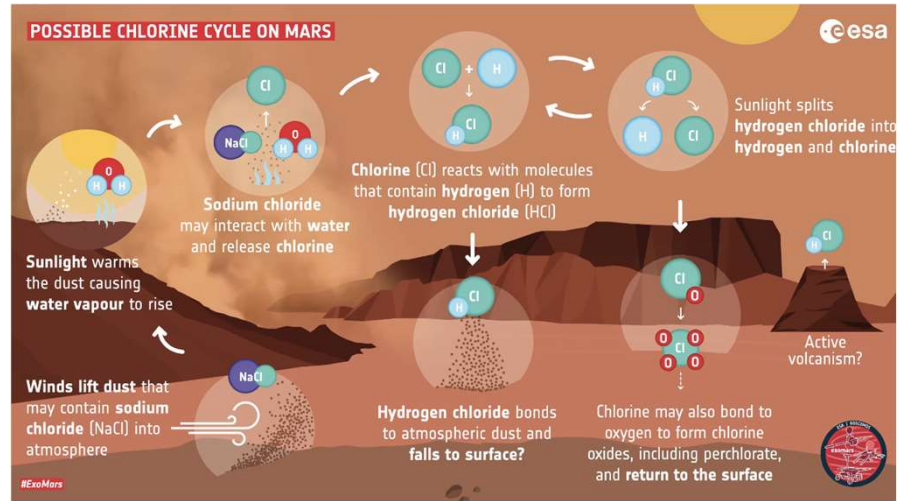
The most powerful instrument to study Mars's atmosphere ?



# After a full martian year of operation No methane, but HCl on Mars



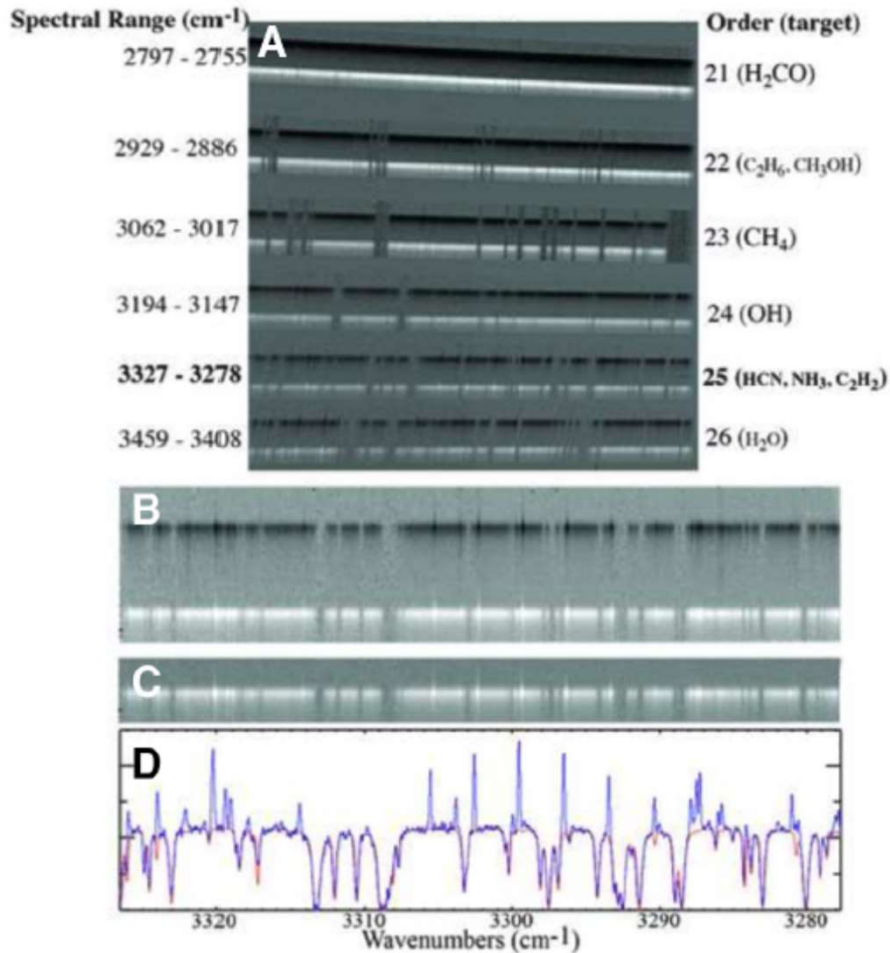
Korablev et al. 2020



# Probing comets in the near-IR (and visible) at high resolution

- Measure abundance ratios of parent molecules (H<sub>2</sub>O, CH<sub>3</sub>OH, CH<sub>4</sub>, C<sub>2</sub>H<sub>2</sub>, C<sub>2</sub>H<sub>6</sub>, NH<sub>3</sub>, CO, HCN, H<sub>2</sub>CO) in many comets and search for trends between comet families
- Measure isotope ratios (D/H, <sup>15</sup>N/<sup>14</sup>N) and other possible diagnostics of comet formation (e.g. ortho-para ratio in H<sub>2</sub>O)
- Study coma dynamics / chemistry from line profiles (so far in done in visible range only)

# Parent molecule inventory and cometary diversity from IR (~ 30 comets observed)



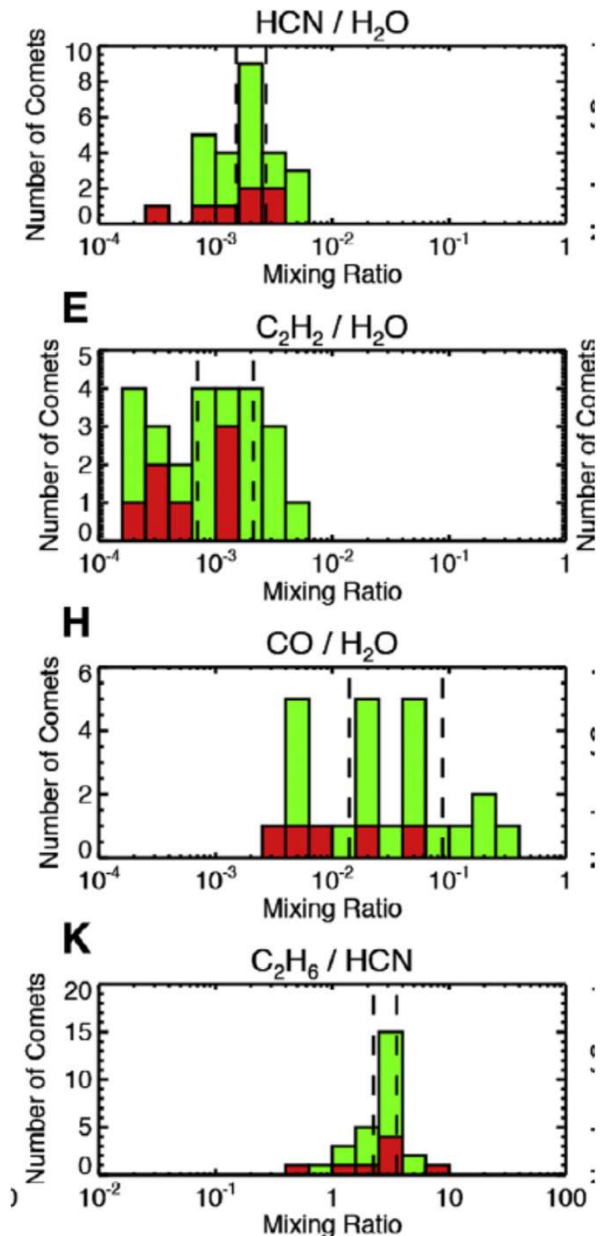
Dello-Russo et al. 2016

Large diversity in molecular abundances, but in general uncorrelated with dynamical family (Jupiter Family Comets vs Oort-Cloud)

Exception: CO, depleted in JFC by factor ~ 4

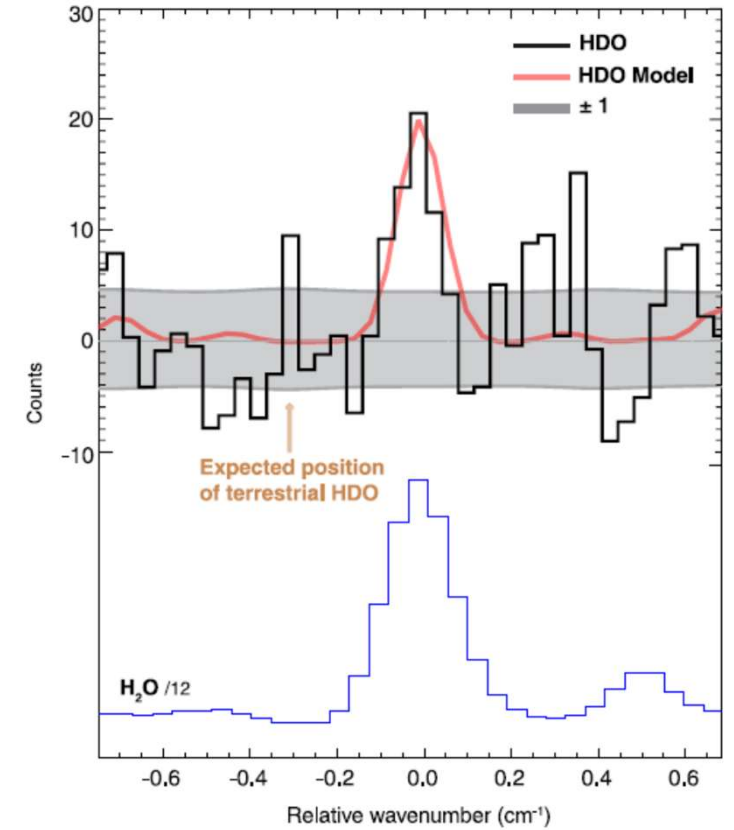
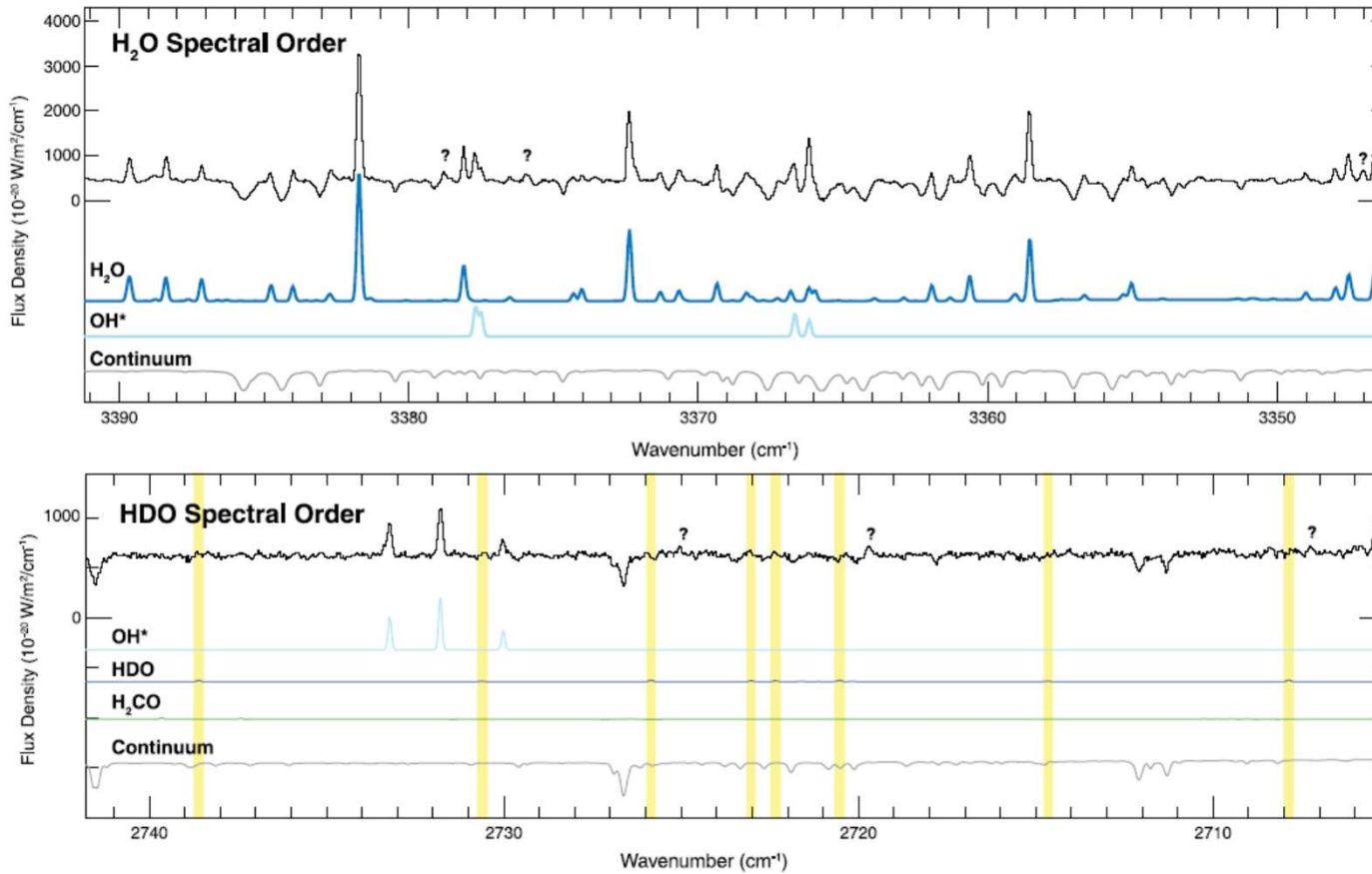
- Cause for diversity (e.g. within families):
  - evolutionary ?
  - original ?
  - observational bias ?

Keck/NIRSPEC long slit echelle spectro. R~25000





# Isotopes: HDO in comets in the IR



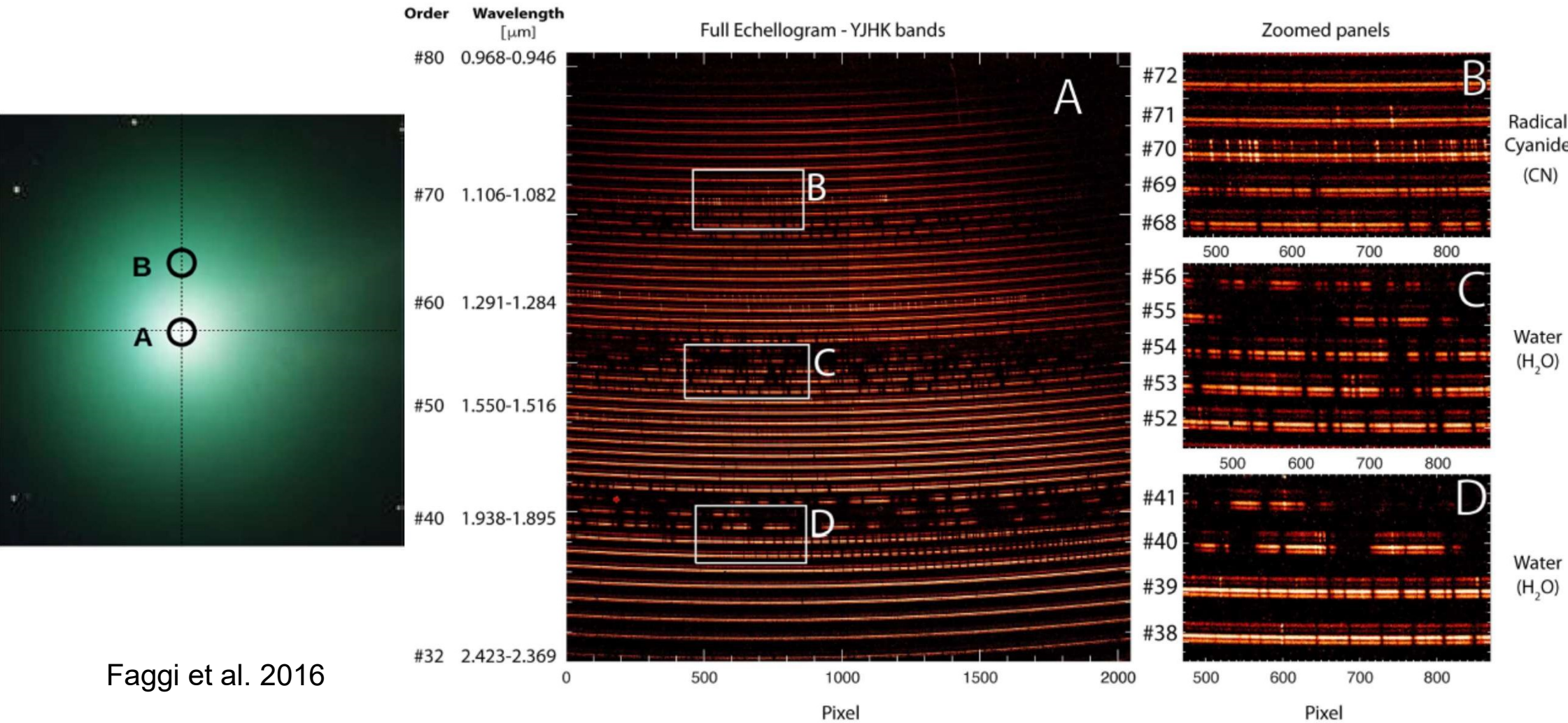
Comet C/2014 Q2 Lovejoy Keck/NIRSPEC

Paganini et al. 2017

$D / H = (3.0 \pm 0.9) \times 10^{-4}$   
 $\sim 2 \times$  terrestrial

# The entire 0.95-2.45 $\mu\text{m}$ spectrum of a comet at R=50000

## C/2014 Q2 Lovejoy comet GIANO -TNG Echellogram



Faggi et al. 2016



*La bêtise consiste à vouloir conclure\**

Gustave Flaubert

\* (approx.) It is a silly thing to conclude



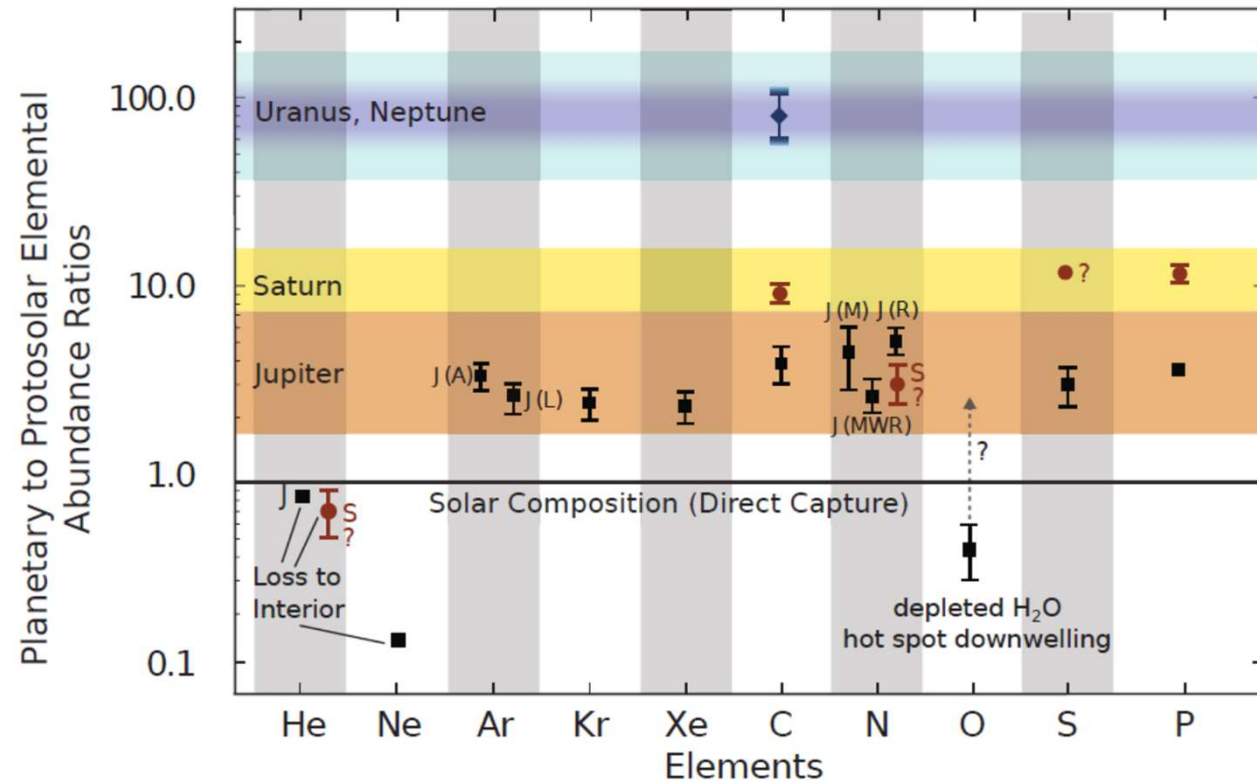


# Polarimetry of solar system atmospheres

- Planetary emission lines can also be **partially polarized**, as recently demonstrated in the particular case of the Earth (eg Lilensten et al 2008, GRL 35, L08804)
- It has **never been detected unambiguously** in the upper atmospheres of other planets yet.
- Even though the exact polarizing mechanism depends on the specific line, polarization is very often a proxy **of possible anisotropies within the emission region**, and in particular those related to the presence & local configuration of magnetic fields.
- Barthélémy et al. 2011. Possible polarization of H3+ lines in Jupiter

# Exploiting the 5- $\mu\text{m}$ region at high-resolution: Probing Giant Planet disequilibrium chemistry

Disequilibrium species in Jupiter and Saturn  
CO, PH<sub>3</sub>, GeH<sub>4</sub>, AsH<sub>3</sub>



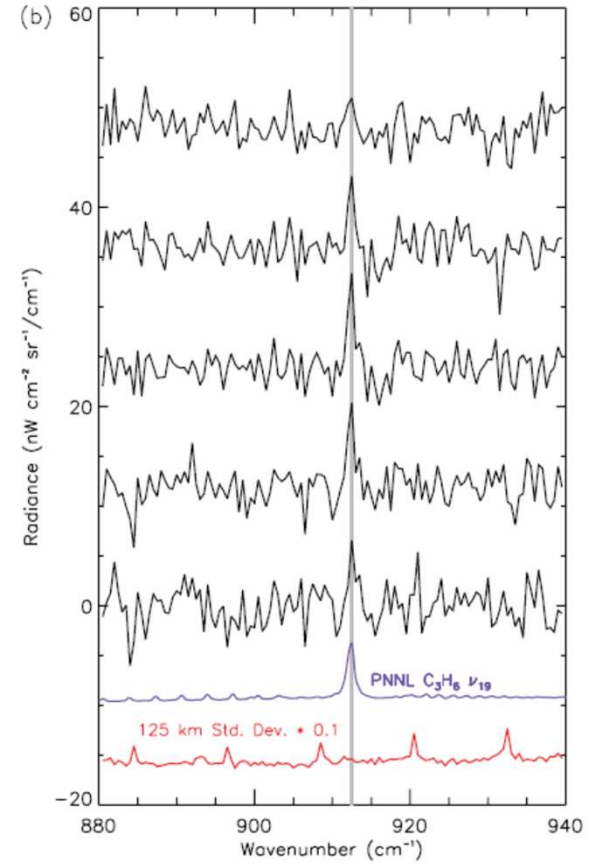
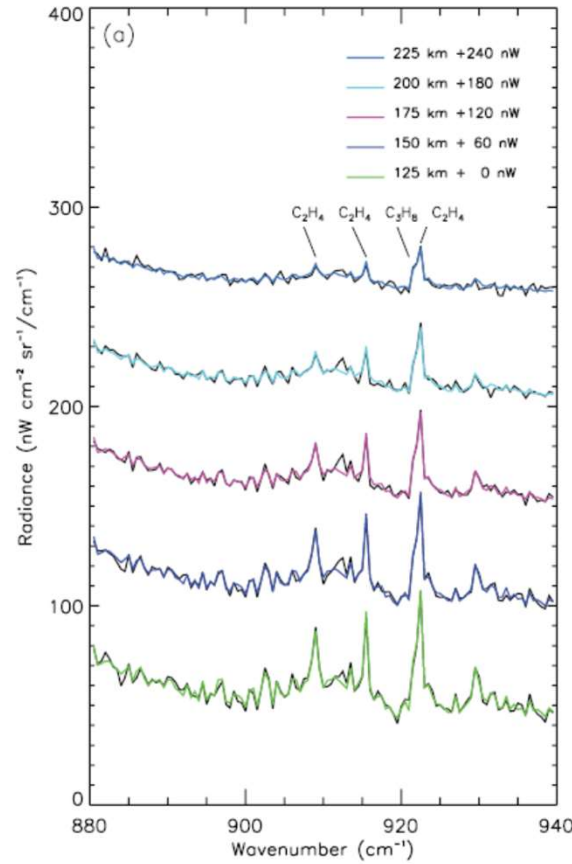
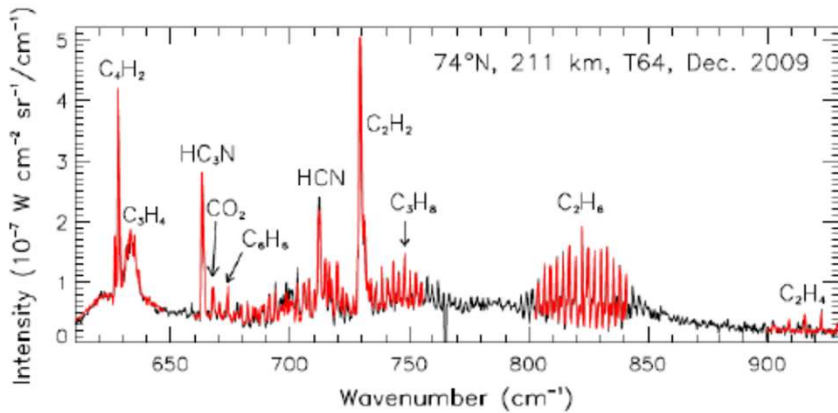
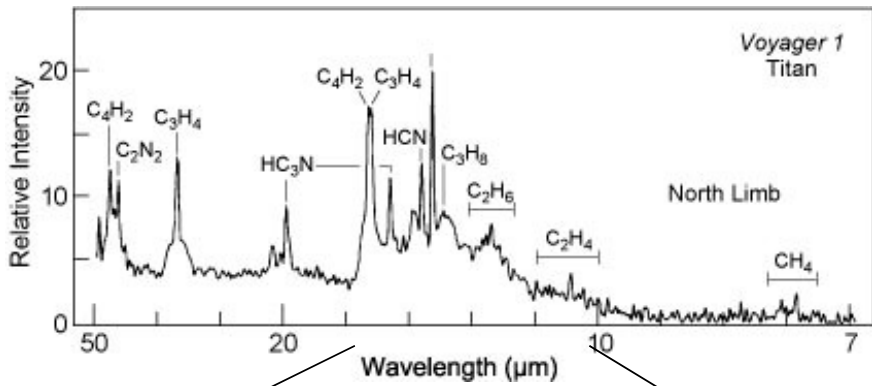
**Jupiter and Saturn are enriched in heavy elements (C, N, P, As); Saturn more than Jupiter**



# Probing Titan stratospheric chemistry

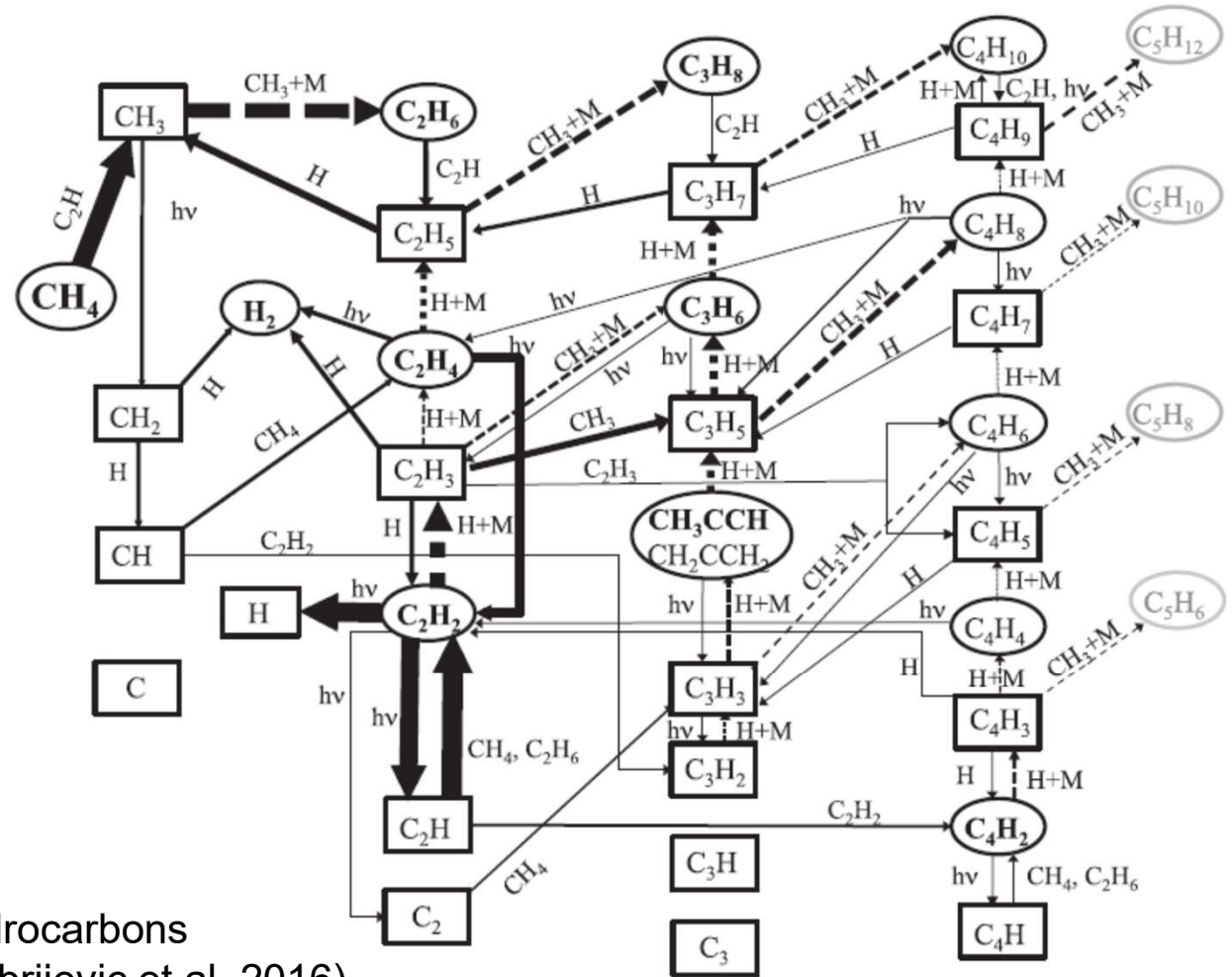
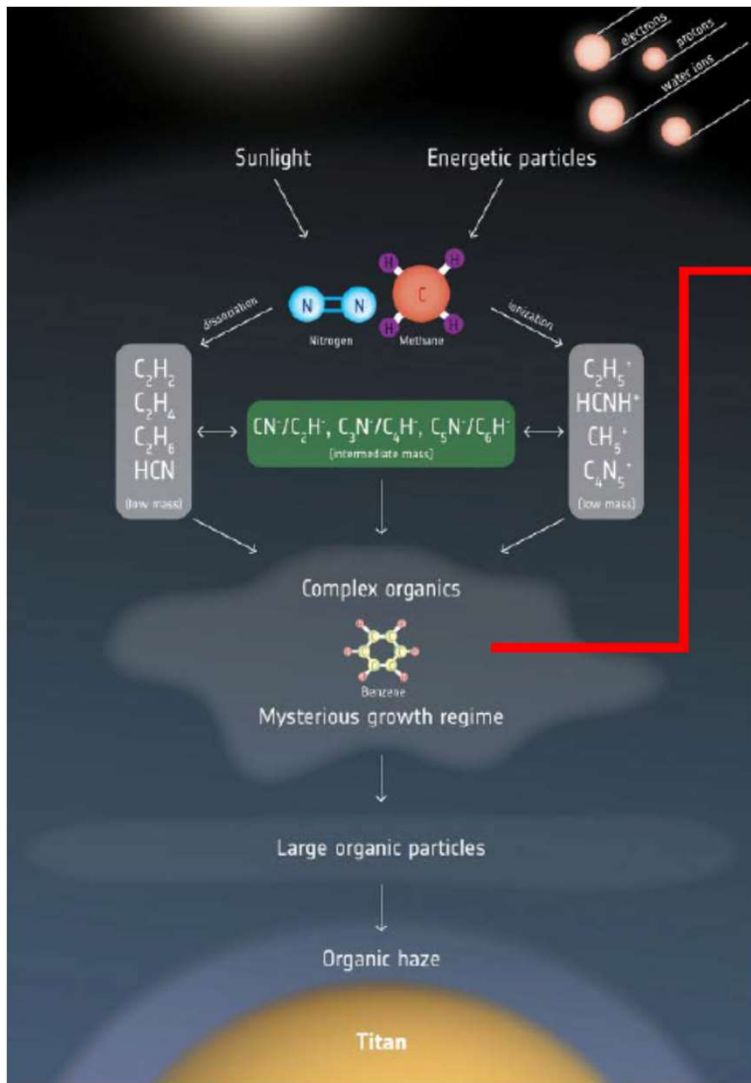
## From Voyager (R~250) to Cassini

### Detection of propene (C<sub>3</sub>H<sub>6</sub>) from Cassini/CIRS (R =2000)



Nixon et al. 2013

# Titan's atmospheric chemistry

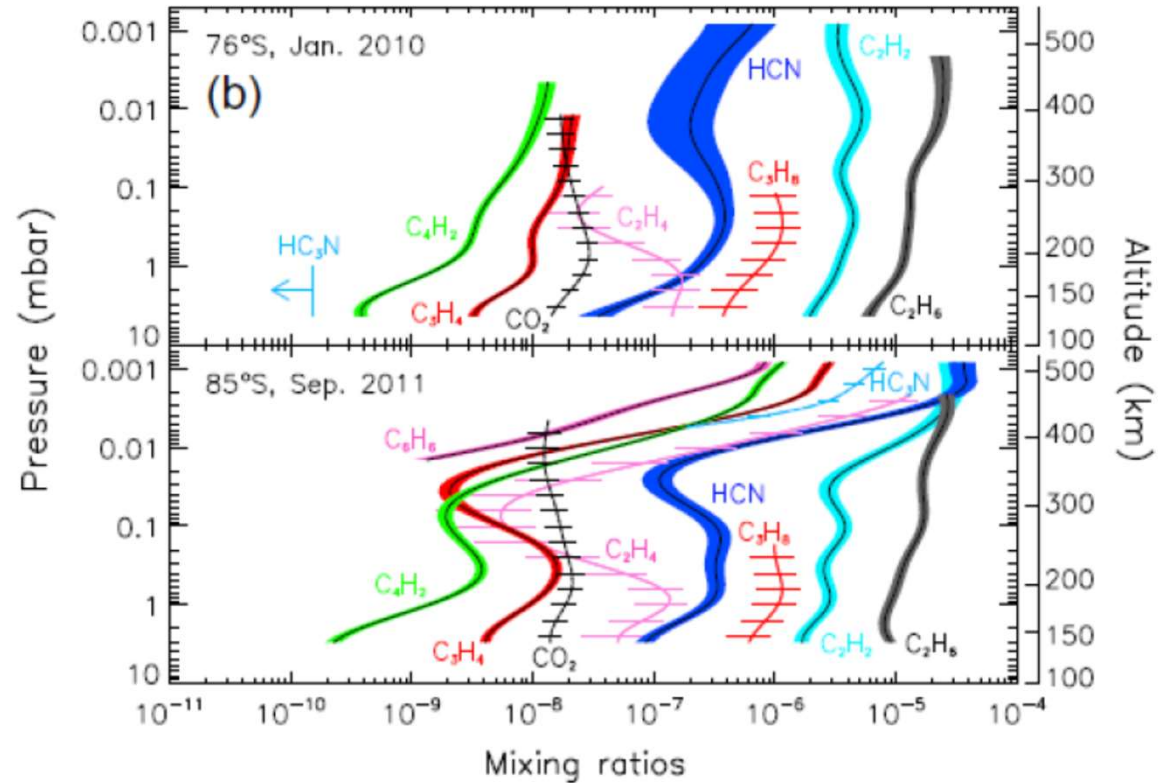
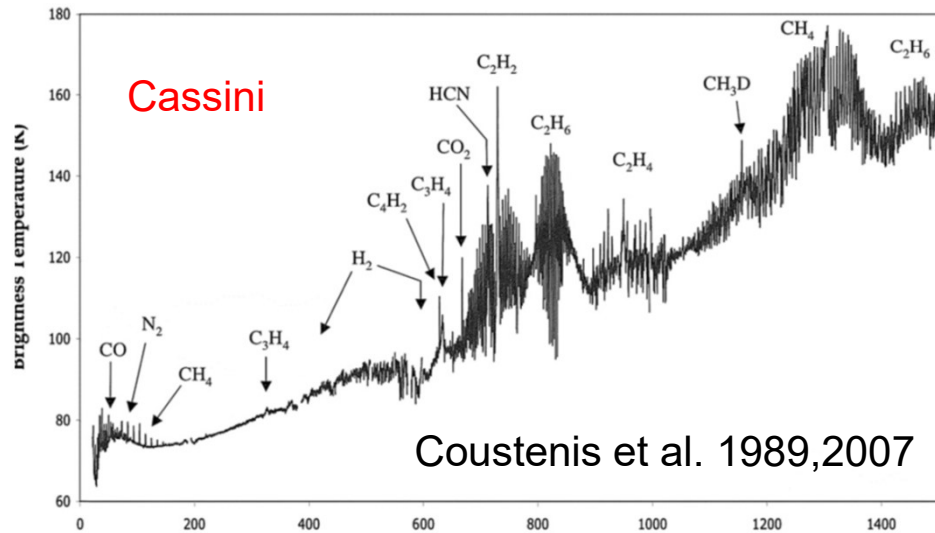
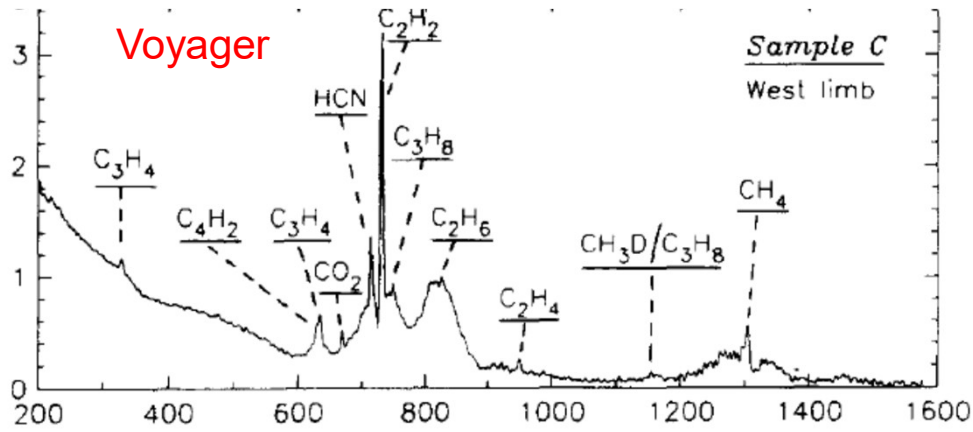


Hydrocarbons  
(Dobrijevic et al. 2016)



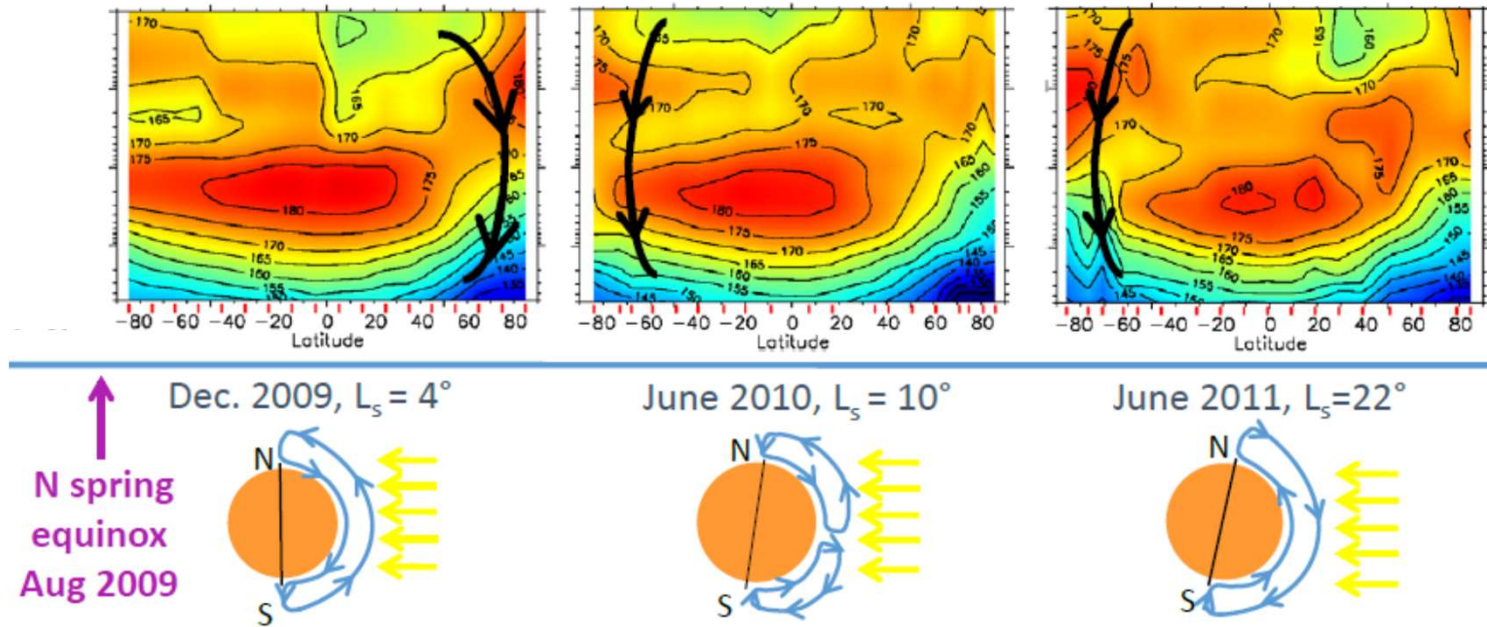
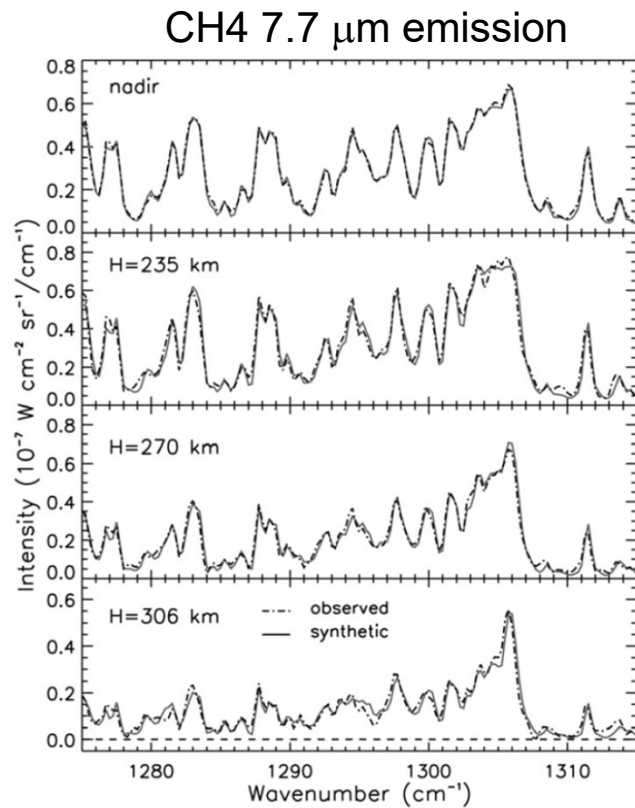
# Probing Titan stratospheric chemistry

From Voyager (1981, R~250) to Cassini (2004-2019, R ~2000 + limb)



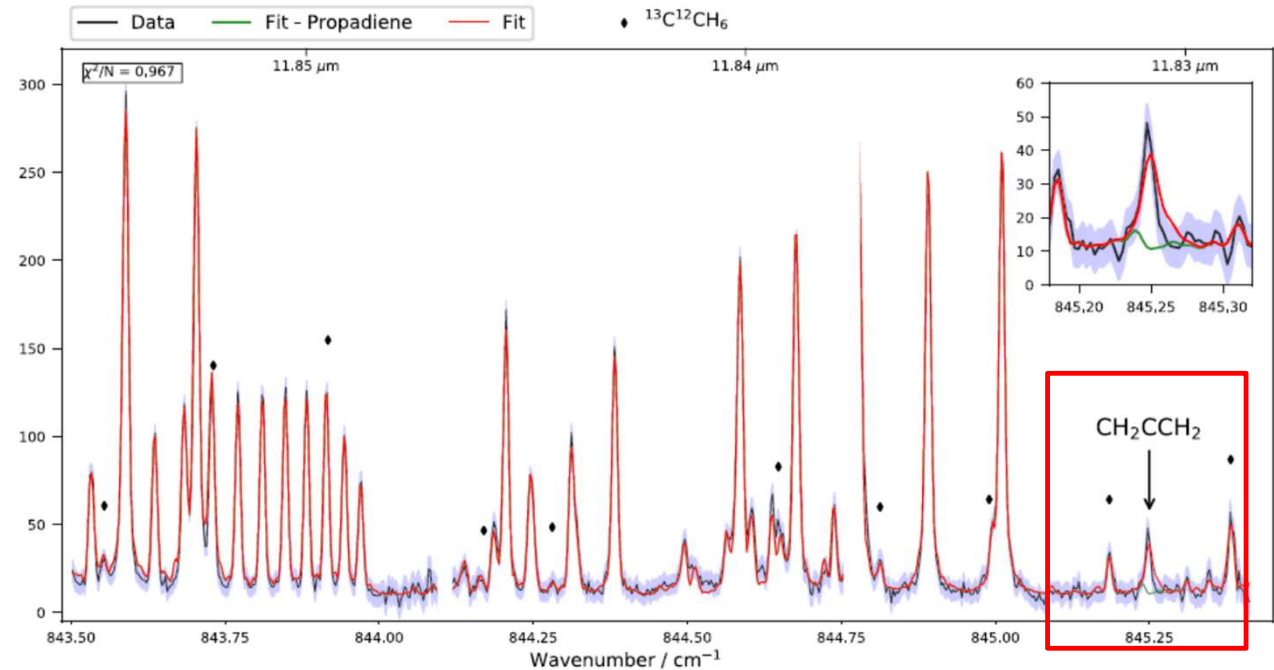
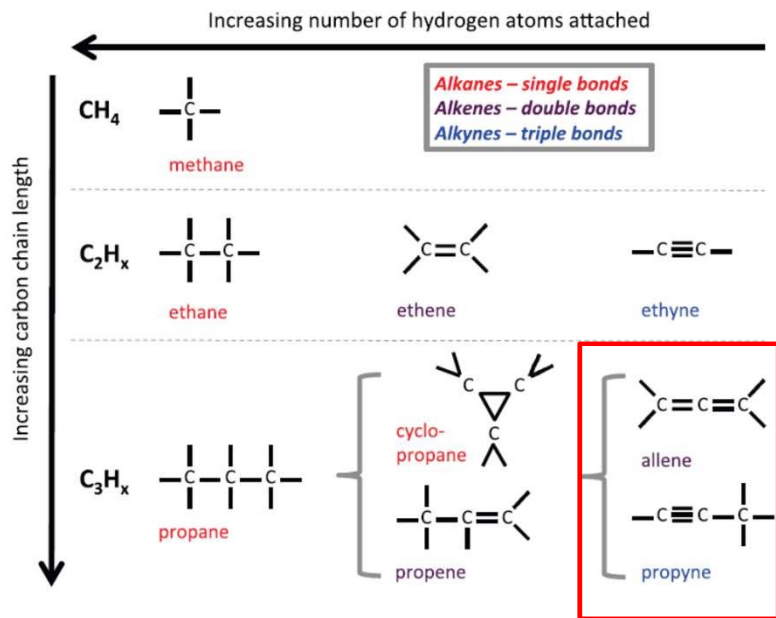
Vinatier et al. 2012

# Probing Titan stratospheric dynamics from Cassini



Vinatier et al. 2007, 2020

# Detection of allene (H<sub>2</sub>CCCH<sub>2</sub>) on Titan (TEXES/IRTF, R = 80,000)

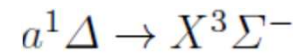


Nixon et al. 2019

Even more complex molecules (N-bearing) have been detected with ALMA, and from mass spectrometry onboard Cassini.

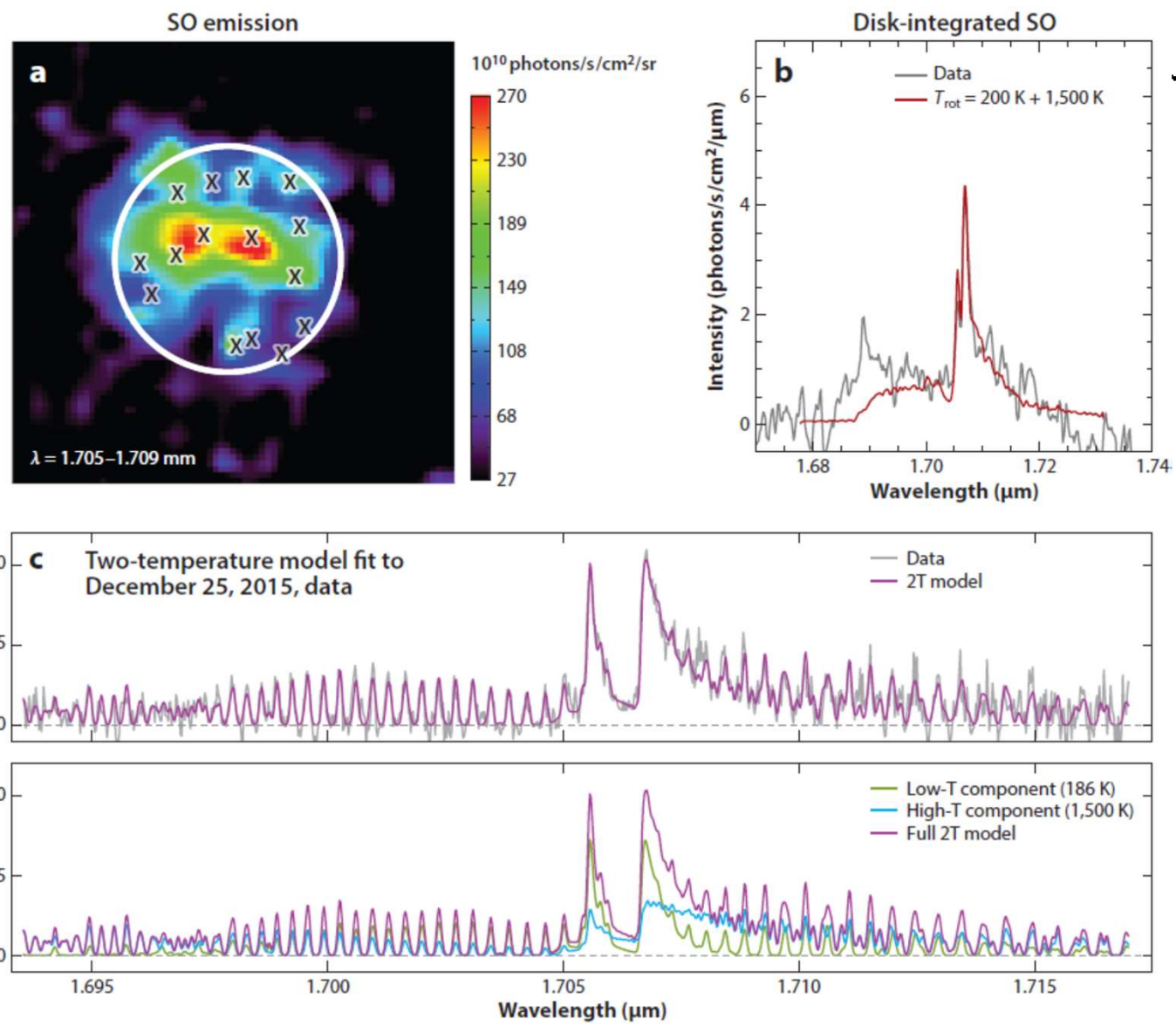
IR still unique for non-polar species (not observable in mm), and isomeric variants (not separable from mass spec.)

# The baffling SO IR emission



- Observed in eclipse
- Rotational distribution indicates high-temp. (~1500 K) and low-temp (~200 K) gas
- Evidence for gas directly injected from volcanic vents?
- But spatial emission not well correlated with volcanic hot spots...

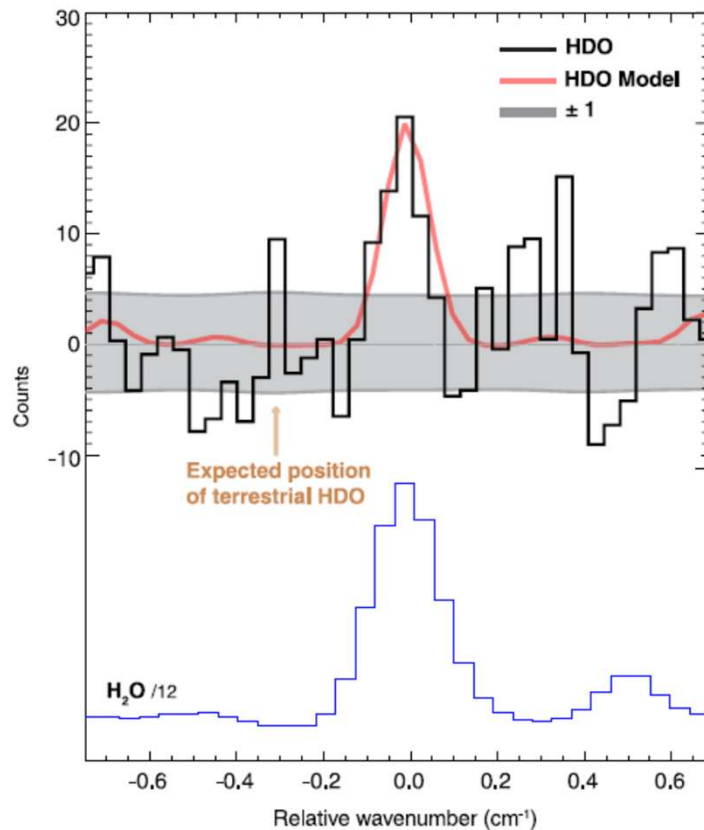
Search for winds (volcanic plumes) with SPIRou?



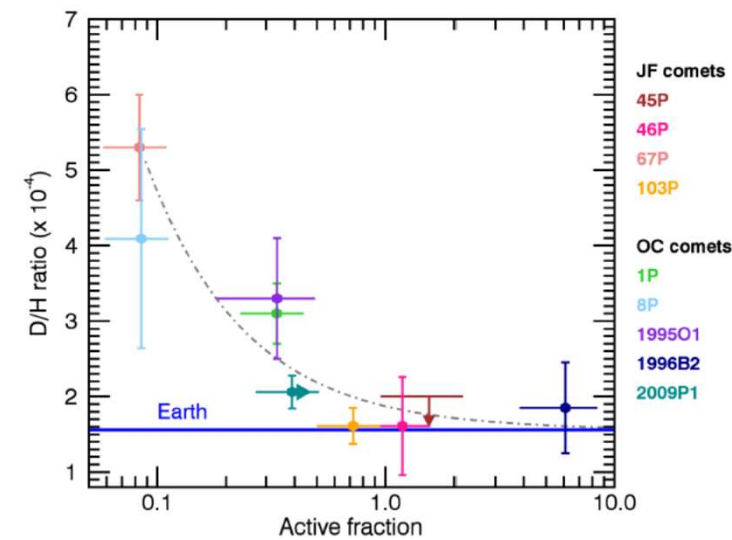
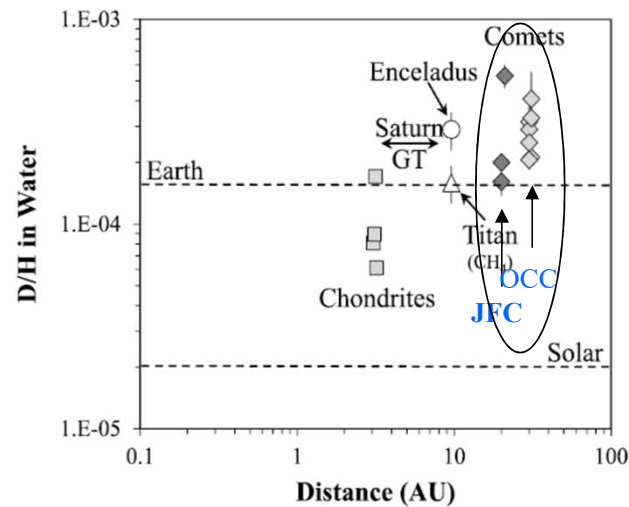


# D/H ratio in comets

- D/H is variable between comets (1 to 3.5 x terrestrial), but again not correlated with dynamical class
- An apparent anticorrelation with comet active fraction?

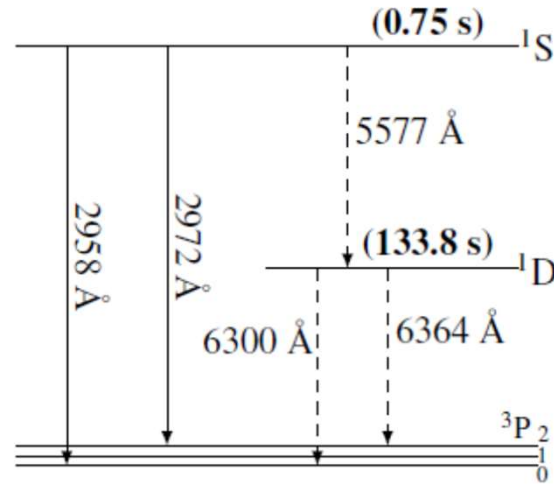
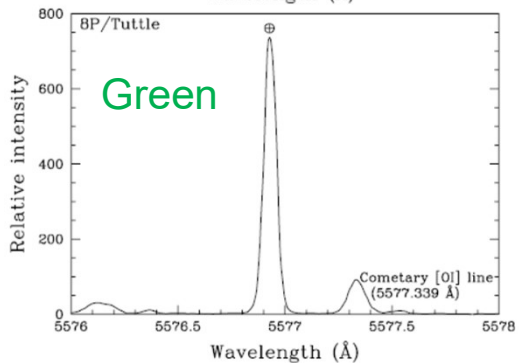
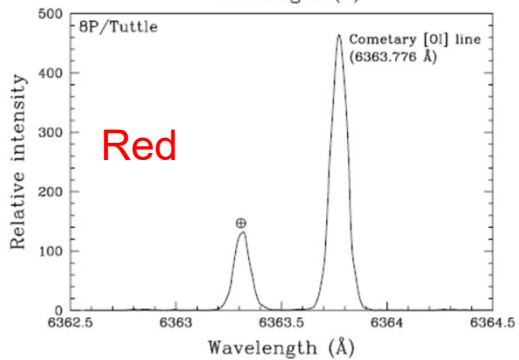
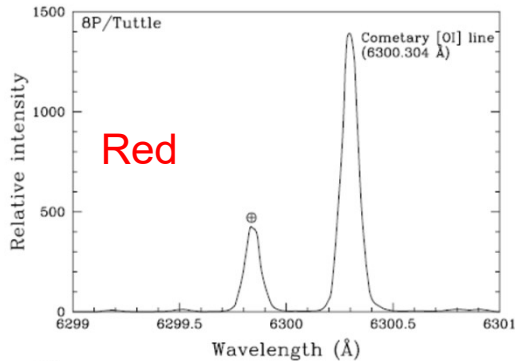


Paganini et al. 2017

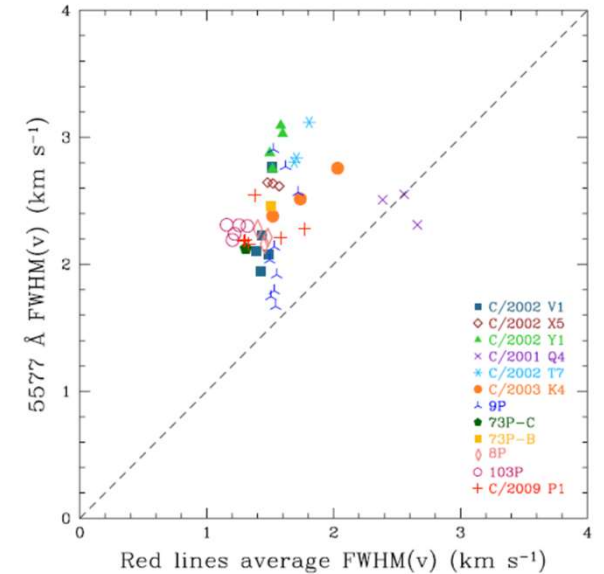
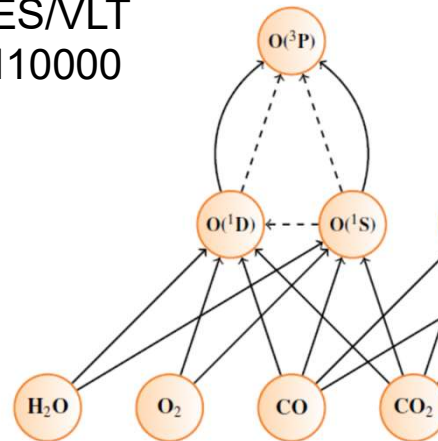




# Resolving atomic OI lines in comets (visible)



UVES/VL  
R=110000



→ Information on relative chemical pathways at the origin of these emissions

Decock et al. 2013, Raghuram et al. 2020